APEXSIF
Powered by Aditya Birla Sun Life Mutual Fund

# KEY INFORMATION MEMORANDUM
# Apex Equity Long-Short Fund

**(An open ended equity investment strategy investing in listed equity and equity related instruments including limited short exposure in equity through derivative instruments)**

| This Product is suitable for investors who are seeking*: | Risk-band | Benchmark Risk-band (NIFTY 500 TRI) |
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| • Capital appreciation over long term<br>• An open ended equity investment strategy investing in listed equity and equity related instruments including limited short exposure in equity through derivative instruments. | LOWER RISK 1 2 3 4 5 HIGHER RISK<br>Risk Level 5 | LOWER RISK 1 2 3 4 5 HIGHER RISK<br>Risk Level 3 |

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

The above product labelling assigned during the New Fund Offer (NFO) is based on internal assessment of the characteristics of the investment strategy or model portfolio and the same may vary post NFO when the actual investments are made.

Offer of units of ₹ 10 each during the New Fund Offer and Continuous offer for Units at NAV based prices

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| **NEW FUND OFFER OPENS ON** | Monday, August 10, 2026 |
| **NEW FUND OFFER CLOSES ON** | Monday, August 24, 2026 |
| **INVESTMENT STRATEGY RE-OPENS ON:** | Within 5 Business days from the date of allotment |

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| **NAME OF SPECIALIZED INVESTMENTS FUND**<br>**APEX SIF**<br>One World Center, Tower 1, 17th Floor, Jupiter Mills, Senapati Bapat Marg, Elphinstone Road, Mumbai-400013<br>Tel: 43568000<br>Fax No: 43568110 / 8111<br>Website:-<br>https://apexsif.adityabirlacapital.com | **NAME OF MUTUAL FUND**<br>**ADITYA BIRLA SUN LIFE MUTUAL FUND**<br>One World Center, Tower 1, 17th Floor, Jupiter Mills, Senapati Bapat Marg, Elphinstone Road, Mumbai-400013<br>Tel: 43568000<br>Fax No: 43568110 / 8111<br>Website -<br>https://mutualfund.adityabirlacapital.com/ | **NAME OF THE ASSET MANAGEMENT COMPANY**<br>**ADITYA BIRLA SUN LIFE AMC LIMITED**<br>One World Center, Tower 1, 17th Floor, Jupiter Mills, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013<br>Tel: 43568000<br>Fax No: 43568110 / 8111<br>CIN: L65991MH1994PLC080811 | **NAME OF THE TRUSTEE COMPANY**<br>**ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED**<br>One World Center, Tower 1, 17th Floor, Jupiter Mills, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013<br>Tel: 43568000<br>Fax No: 43568110 / 8111<br>CIN: U74899MH1994PTC166755 |

This Key Information Memorandum (KIM) sets forth the information which a prospective investor ought to know before investing. **For further details of the Investment Strategy/ SIF, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Investment Strategy Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website https://apexsif.adityabirlacapital.com**

**The Investment Strategy particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 2026, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.**

**Investors are advised to note that investments in Specialized Investment Fund involves relatively higher risk including potential loss of capital, liquidity risk and market volatility. Please read all investment strategy related documents carefully before making the investment decision.**

This Key Information Document is dated July 22, 2026.

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| **Name of the Investment Strategy** | Apex Equity Long-Short Fund |
| **Category of the Investment Strategy** | Equity Long-Short Fund |
| **Type of Investment Strategy** | An open ended equity investment strategy investing in listed equity and equity related instruments including limited short exposure in equity through derivative instruments. |
| **Investment strategy code** | APEX/O/E/ELSF/26/06/0003/ABSL |
| **Investment objective** | The Investment strategy seeks to generate long-term capital appreciation by investing in a diversified portfolio of equity and equity-related instruments, including limited short exposure through derivatives<br>There is no assurance that the investment objective of the Investment strategy will be achieved. |

| Asset Allocation | |
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**Asset Allocation**

Under normal circumstances, the asset allocation of the Investment Strategy will be as follows:

| Instruments | Indicative Allocations (% of total assets) | |
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| | Minimum | Maximum |
| Equity & Equity related instruments # (including up to 25% in Unhedged short exposure through derivative instruments)## | 80 | 100 |
| Debt and Money Market Instruments (including Units of Debt oriented mutual fund schemes and Debt ETFs) | 0 | 20 |
| Units issued by InvITs | 0 | 20 |

# including overseas securities, equity ETFs and units of equity oriented mutual fund schemes.

##Derivatives exposure will be up to 100% of net assets and unhedged short position can be up to 25% of net assets. Exposure through such derivative Instruments (including unhedged short exposure) shall include Stock / Index Futures, Stock / Index Options and other derivative instruments permitted by SEBI.

The Margin may be placed in the form of such securities / instruments / deposits as may be permitted/eligible to be placed as margin from the assets of the investment strategy. The securities / instruments / deposits so placed as margin shall be classified under the applicable category of assets for the purposes of asset allocation.

**Indicative Table** (Actual instrument/percentages may vary subject to applicable SEBI circulars)

| Sr. no | Type of Instrument | Percentage of exposure | Circular references |
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| 1. | Securities Lending | (i) Not more than 20% of the net assets of the Investment Strategy can be deployed in Stock Lending; and<br>(ii) Not more than 5% of the net assets of the Investment Strategy can be deployed in Stock Lending to a single intermediary level. | Clause 13.6 of Master Circular. |
| 2. | Derivatives for non-hedging purpose | Maximum short exposure through unhedged derivative positions in equity and equity related instruments will be upto 25% | Clause 21.6.1.(a) of Master Circular |
| 3. | Securitized Debt | Investment in securitized debt excluding foreign securitized debt shall not exceed 20% of the debt portfolio. | Clause 13.1 of Master Circular |
| 4. | Overseas Securities | The Investment Strategy may seek to invest up to 35% of its net assets in foreign securities.<br>This Scheme seeks to invest an amount of US $ 50 million in overseas securities and US $ 20 million in overseas ETFs.<br>Further, the said limits shall be valid for a period of six months from the date of closure of NFO. Post completion of the six months, the relevant para 13.11.4. of Master Circular shall be applicable.<br>Further, no shorting will be made in overseas derivatives. | Clause 13.11 of Master Circular. |
| 5. | Units of Infrastructure Investment Trusts (InvITs). | The Investment Strategy shall invest not more than 20% of its NAV in the units of InvIT and not more than 10% of its NAV in the units of InvIT issued by a single issuer. | Clause 21.5 of Master Circular |
| 6. | Debt instruments having Structured Obligations / Credit Enhancements | The Investment Strategy may invest in debt instruments having Structured Obligations / Credit Enhancements subject to the following:<br>• The investment of the Investment Strategy in the following instruments shall not exceed 10% of the debt portfolio of the Investment Strategy and the group exposure in such instruments shall not exceed 5% of the debt portfolio of the Investment Strategy:<br>i. Unsupported rating of debt instruments (i.e. without factoring-in credit enhancements) is below investment grade; and<br>ii. Supported rating of debt instruments (i.e. after factoring-in credit enhancement) is above investment grade.<br>The above limits shall not be applicable on investments in securitized debt instruments. | Clause 13.1 of Master Circular |
| 7. | Repo/reverse repo transactions in corporate debt securities | The gross exposure to repo transactions in corporate debt securities shall not be more than 10% of the net assets of the investment strategy. | Clause 13.8 of Master Circular |
| 8. | Debt instruments with special features (AT1 and AT2 Bonds) | i. Not more than 10% issued by a single issuer under all Investment Strategies.<br>ii. Shall not invest<br>• More than 10% of NAV of debt portfolio in such instruments; and<br>• More than 5% of its NAV of the debt portfolio of the Investment Strategy in such instruments issued by a single issuer | Clause 13.1 of Master Circular |
| 9. | Credit Default Swaps | The Investment Strategy will invest in Credit Default Swaps. | Clause 13.17 of Master Circular |
| 10. | Units of Mutual Funds | The strategy will invest in units of mutual funds upto 20% of the net assets of the strategy.<br>The Investment Strategy may invest in units of Mutual Fund under the same asset management company or any other mutual fund without charging any fees, provided that aggregate inter investments made by all investment strategies under the same management or in Mutual Funds under the management of any other asset management company shall not exceed 5% of the net asset value of the Mutual Fund. | Clause 3 of Sixth Schedule of SEBI Mutual Fund Regulations, 2026 |

In line with para 13.18 of Master Circular, the cumulative gross exposure through equity, debt, derivative positions (including fixed income derivatives), repo transactions and credit default swaps in corporate debt securities, Infrastructure Investment Trusts (InvITs), and such other securities/assets as may be permitted by the Board from time to time should not exceed 100% of the net assets of the investment strategy.

Cash or cash equivalents with residual maturity of less than 91 days may be treated as not creating any exposure. SEBI vide letter dated November 3, 2021 has clarified that Cash Equivalent shall consist of Government Securities, T-Bills and Repo on Government Securities.

Money Market Instruments including commercial papers, commercial bills, treasury bills, Government securities having an unexpired maturity upto one year, call or notice money, certificate of deposit, usance bill and any other like instruments as specified by the Reserve Bank of India/SEBI from time to time subject to regulatory approvals, if any.

**Timelines for deployment of funds collected in NFO:**

In line with Clause 7.24 of Master Circular, the fund manager shall aim to deploy the funds garnered during the NFO within 30 business days from the date of allotment of units. In an exceptional case, if the fund manager is not able to deploy the funds within 30 business days as per the investment strategy asset allocation, reasons in writing, including details of efforts made to deploy the funds, will be placed before the Investment Committee. The Investment Committee,

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| | after examining the root cause for delay in deployment, may extend the timeline by 30 business days. Further, in case the funds are not deployed within the aforementioned mandated plus extended timelines, the AMC shall comply with the prescribed restrictions, the reporting and disclosure requirements as specified in the said SEBI Circular.<br>**Portfolio Rebalancing:**<br>**Rebalancing due to Short Term Defensive Consideration:**<br>Due to market conditions, the AMC may invest beyond the range set out in the asset allocation. Such deviations shall normally be for a short term and defensive considerations as per clause 1.9.1(b)of Master Circular, and the fund manager will rebalance the portfolio within 30 calendar days from the date of deviation.<br>**Rebalancing due to Passive Breach:**<br>Further, as per clause 3.11 of Master Circular, as may be amended from time to time, in the event of deviation due to all type of passive breaches (occurrence of instances not arising out of omission and commission of the AMC), the fund manager shall rebalance the portfolio of the investment strategy within 30 Business Days. In case the portfolio of the investment strategy is not rebalanced within the period of 30 Business Days, justification in writing, including details of efforts taken to rebalance the portfolio shall be placed before the Investment Committee of the AMC. The Investment Committee, if it so desires, can extend the timeline for rebalancing up to sixty (60) Business Days from the date of completion of mandated rebalancing period.<br>Further, in case the portfolio is not rebalanced within the aforementioned mandated plus extended timelines the AMC shall comply with the prescribed restrictions, the reporting and disclosure requirements as specified in para 3.11 of Master Circular. |
| **Investment Approach** | The strategy will follow an active investment approach. To achieve the investment objective, the strategy will invest in equity & equity related instruments, debt & money market instruments as permitted by SEBI from time to time.<br>Equity & Equity Related Instruments:- The fund will adopt a fundamentally driven, macro-aware investment approach aimed at generating absolute returns across market cycles by dynamically balancing long and short exposures. The long book will focus on high-quality businesses with strong earnings visibility, robust balance sheets and sustainable competitive advantages, identified through deep bottom-up research, while also aligning with broader macro trends such as economic growth, liquidity conditions, interest rate cycles and sectoral tailwinds. The short book will be constructed based on a combination of fundamental deterioration, excessive valuations and adverse market sentiment, targeting companies with weak financials, or cyclical and structural headwinds, while also incorporating tactical opportunities arising from near-term earnings risks or sentiment reversals. Net exposure will be actively managed based on evolving market conditions expanding in favorable environments and reducing or hedging during periods of heightened uncertainty or risk supplemented by selective participation in arbitrage and special situations to enhance risk-adjusted returns. Depending on market conditions, the strategy may also deploy index replication to efficiently manage market exposure, maintain liquidity and tactically adjust beta. The overall approach emphasizes disciplined risk management, liquidity awareness and continuous monitoring of macro indicators and market sentiment to navigate volatility and deliver a more consistent return profile relative to traditional long-only equity strategies.<br>Long/Short Strategy: Aim to capture the benefit in price movement of stocks that move in either direction by following a long/short investment strategy. Long/short equity allows investors to participate in equity-like returns while seeking to reduce downside risk. Long/Short has the potential to reduce the downside risk in falling markets and can help deal with short-term market volatility. Doing so may also ensure that cumulative returns are superior, even if some of the upside is not captured in bull periods.<br>The Strategy may use arbitrage strategy by taking advantage of the price differentials / mis-pricing prevailing for stock / index in various market segments (Cash & Futures). It will also use arbitrage opportunities available on the back of corporate actions: Open Offers, Right Issues, Delisting, Merger Arbitrage, De-mergers, Securities Lending and Borrowing and buy-backs. The portfolio may use Long Short and in special situations use shorts to generate alpha. Derivatives will be used to go net short at portfolio level. Derivatives may be used for both hedging purposes and for their own profit potential. The strategy will have a marginal use of directional equities. Fixed income portion will aim to generate returns by actively managing debt with both duration and credit play.<br>**Indicative List of Derivative Strategies**<br>The investment approach may deploy the following equity derivative strategies, in compliance with SEBI regulations and internal risk management policies. All positions will be maintained within the overall gross exposure cap of 100% and the naked short exposure limit of 25%. |

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| Covered Call Writing | A covered call involves holding a long position in a stock while selling call options on the same asset. This options trading strategy generates income from option premiums while providing limited risk reduction. It is particularly effective in flat or moderately bullish markets, where stock prices are expected to remain stable or rise slightly. |
| Protective Put Strategy | A protective put is an options strategy that serves as a form of insurance to limit the downside risk of a stock investment. It involves buying a put option to protect against losses while allowing for potentially unlimited gains if the underlying asset appreciates. |
| Long & Short | Pair trade using futures/ option captures relative value between two correlated securities/Index. |
| Long/ Short | Index/Stock Futures/Options Used to express directional bias for short to medium term horizon. |
| Protective Collar | It is a derivatives based risk management approach in which while holding a stock simultaneously buy a put option to protect against downside risk and sells a call option to generate premium income, thereby offsetting the cost of the put. This creates a defined range of outcomes limiting potential losses below a certain level while also capping gains above a specified price, making it a useful strategy to stay invested in the market but with controlled risk and more predictable returns, especially during periods of uncertainty or moderate market outlook. |
| Straddle Strategy | A straddle is an options strategy that bets on the volatility of an asset. By simultaneously buying or selling both a call and a put option with the same expiration date and similar strike prices, traders can benefit from significant price movements in either direction or from a lack of movement altogether. |
| Strangle Strategy | A strangle is a strategy for profiting on forecasts about whether the price of a stock will fluctuate significantly. Purchasing or selling the call option with the strike price higher than the stock's spot price and the put option with a strike price lower than the current price constitutes a strangle. |
| Synthetic Short | Buy a put and sell a call at the same strike and expiration to mimic a short stock position. Profits from a significant price decline. |
| Long Put | Buy a put option to profit from a decline in the asset price below the strike. The probability of returns is high if market falls and losses are restricted to the extend of premium paid. The level of risk is low. This strategy can be used in case there is strong bearish outlook. |
| Bear Put Spread | A bear put spread is a limited-risk, limited-reward options strategy used when an investor is moderately bearish on an asset's price. Buy a put at a higher strike and simultaneously sell a put at a lower strike, same expiration. |
| Short Call | Sell a call option, profiting if the asset price stays below the strike Premium received losses are theoretically unlimited. |
| Bear Call Spread | A short call spread, also known as a bear call spread, is a limited-risk options strategy where a trader sells a call option and simultaneously buys another call option with a higher strike price but the same expiration date. This strategy is a bearish strategy used when the trader expects the underlying asset price to fall, rise slightly, or stay relatively flat, profiting from the time decay (Theta) and decreased implied volatility of the options. |
| Iron Butterfly | It is a neutral options strategy that combines selling an at-the-money call and put with simultaneously buying an out-of-the-money call and put, all with the same expiry, to create a defined risk–reward payoff. The strategy generates a net premium upfront and is designed to profit when the underlying asset remains close to the strike price at expiry, resulting in maximum gain. While the premium received provides income, the purchased out-of-the-money options act as protection, ensuring that potential losses are limited if the market moves sharply in either direction, making it suitable for low-volatility, range-bound market conditions. |
| Long Put Butterfly | A long put butterfly is composed of two short puts at a middle strike, and long one put each at a lower and a higher strike. The upper and lower strikes must both be equidistant from the middle strike, and all the options must be the same expiration. Profits from a limited move to the middle strike and losses are limited to the extent of premium paid. |
| Long Put Calendar | A long put calendar spread is an options strategy where you simultaneously sell a near-term put option and buy a longer-term put option, both with the same strike price and underlying asset, for a net debit. The strategy profits from the faster time decay (theta) of the short-term put and a stable or slightly falling stock price that stays near the strike price. It's a limited-risk, limited-profit strategy that typically benefits from increased implied volatility and a neutral to bearish outlook on the underlying asset. |
| Long Put Diagonal | A long put diagonal spread is a bearish, defined-risk options strategy where you buy a longer-dated, in-the-money put and sell a shorter-dated, out-of-the-money put. This strategy is established for a net debit, aims for the short put to expire worthless, and reduces the cost basis of the long put, providing a capital-efficient way to gain synthetic short exposure. |

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| | Ratio Put Spread | A put ratio spread is an options strategy involving the sale of more put options than are purchased on the same underlying asset, typically at lower strike prices, while buying a smaller number of puts at a higher strike price. This strategy generates a net credit and is used to profit from a moderately bearish market outlook, but it carries limited profit potential and unlimited potential loss. |

The investment strategy may have prudent exposure to Futures & Options (F&O) to capture opportunities arising out of market imperfection and to hedge the portfolio, whenever necessary. The investment strategy may deploy long short strategies to generate absolute returns with low volatility, compared to the broad market index over medium to long term. Long short strategy aims to have a lower drawdown in the correction phase of the market due to lower net exposure. The investment strategy may add value if the short position declines more than the long basket. It also aims to outperform the market during a sideways tending market as the divergence of stock returns within the sector can be a potential source of alpha. Long short strategies also help to spread risk and generate potential returns irrespective of market movements.

**Debt & Money Market Instruments:-** To generate better returns the strategy will be actively managing debt with both duration and credit play. The fund investment in debt securities and money market instruments issued by corporate and/or state and central government with the aim to controlling volatility and providing cash flows on a continuous basis. Rigorous in-depth credit evaluation of the securities proposed to be invested in will be carried out by the investment team of the AMC for its fixed income investments. In addition, the Investment Team of the AMC studies the macro-economic conditions, including the political, economic environment and factors affecting liquidity and interest rates. Investments in debentures and bonds will usually be in instruments which have been assigned investment grade ratings by any approved rating agency.

**InvITs:-** Investment in InvITs will be made based on the various factors such as liquidity, sector outlook and return expectations. The investment across asset class within the stated range will be based on opportunities available in the different asset classes and future outlook for the Markets.

ABSLAMC may, from time to time, review and modify the investment strategy if such changes are in the best interests of the unit holders and if market conditions warrant it.

**Risk Control:** The Fund shall invest in a diversified basket of equity stocks, debt and money market instruments along with a portion of fund invested in initial/primary market offerings/ FPOs. This allocation will be steadily monitored, and it shall be ensured that investments are made in accordance with the Investment strategy objective and within the regulatory and internal investment restrictions prescribed from time to time. Diversification across sectors/companies at the time of investments shall also manage the risk. The Investment strategy has designed a detailed process to identify, measure, monitor and manage the portfolio risk. The aim is not to eliminate the risk completely but to have a structured mechanism towards risk management thereby maximizing potential opportunities and minimize the adverse effects of risk.

**Portfolio Turnover:** The Investment Strategy will endeavour to keep the portfolio turnover reasonable. However, the portfolio turnover ratio may vary as the Investment strategy may change the portfolio according to Asset Allocation to align itself with the objectives of the Investment Strategy. The effect of higher portfolio turnover could be higher brokerage and transaction costs.

**Risk Profile of the Investment Strategy**

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the Investment Strategy Information Document carefully for details on risk factors before investment. Strategy Specific Risk Factors are summarized below:

Investments in the Strategy are subject to various risk factors including but not limited to risks associated with: investments in Equity and Equity related securities, Fixed Income Securities such as Price-Risk or Interest-Rate Risk, Credit Risk, Liquidity or Marketability Risk, Reinvestment Risk etc., risks associated with creation of segregated portfolios, investments in REITs and InvITs, investments in Derivatives, including Interest rate swaps, (The risks associated with the use of derivatives are different from or possibly greater than, the risks associated with investing directly in securities and other traditional investments), investments in foreign securities, investments in Securitised Debt assets which would be in the nature of Mortgage backed securities (MBS) and Asset backed securities (ABS) with underlying pool of assets and receivables like Housing Loans, Auto loans and corporate loans and risk associated with investment in units of INVITs. The various risks associated with securitised assets include Prepayment Risk, Credit Risk, Liquidity Risk, Conversion risk, Price risks etc. The strategy shall also be subject to risks associated with investments in repo transactions in corporate debt securities, risks. Different types of securities in which the strategy would invest as given in the Investment Strategy Information Document/Key Information Memorandum carry different levels and types of risk. The above are some of the common risks associated with investments in various securities. There can be no assurance that a strategy's investment objectives will be achieved, or that there will be no loss of capital. Investment results may vary substantially on a monthly, quarterly or annual basis. Further, the Fund/AMC is not guaranteeing or assuring any returns. Further, it should be noted that the actual distribution of IDCWs and the frequency thereof are indicative and will depend, inter-alia, on availability of distributable surplus. IDCW payouts will be entirely at the discretion of the Trustee.

Investors may, if they wish, consult their legal, tax, investment and other professional advisors to determine possible legal, tax, financial or other considerations of subscribing to or redeeming Units, i.e. before making a decision to invest/redeem Units.

Investors in Strategy are not being offered any guaranteed returns. Please refer to ISID for detailed strategy specific risk factors.

**Plans and Options**

- **Plan -** The Investment Strategy will have **Regular Plan** and **Direct Plan** with a common portfolio and separate NAVs. Investors should indicate the Plan for which the subscription is made by indicating the choice in the application form.

**Options under each Plan(s):** Growth Option

**Default Option/ Sub-Option:** Growth Option

For detailed disclosure on default plans and options, kindly refer Apex SIF SAI.

**Applicable NAV (After the Investment Strategy opens for Redemption/repurchase and sale)**

In accordance with provisions of para 9.4 of Master Circular, and further amendments if any, thereto, the following cut-off timings shall be observed by Specialized Investment Fund in respect of purchase/ redemption/ switches of units of the investment strategy, and the following NAVs shall be applied in each case:

**I. APPLICABLE NAV FOR SUBSCRIPTIONS/ PURCHASE INCLUDING SWITCH-IN OF ANY AMOUNT:**

- In respect of valid applications received upto 3.00 p.m. and where funds for the entire amount are available for utilization before the cut-off time i.e. credited to the bank account of the investment strategy before the cut-off time - the closing NAV of the day shall be applicable.
- In respect of valid applications received a er 3.00 p.m. and where the funds for the entire amount are credited to the bank account of the investment strategy before the cut-off time of the next business day i.e. available for utilization before the cut-off time of the next business day – the closing NAV of the next business day shall be applicable.
- Irrespective of the time of receipt of application on any given day, where the funds for the entire amount are credited to the bank account of the investment strategy before the cut-off time on any subsequent business day i.e. available for utilization before the cut-off time on any subsequent business day - the closing NAV of such subsequent business day shall be applicable.
- In case of switch transactions from one investment strategy to another, the allocation to switch-in investment strategy shall be in line with the redemption payouts.

  Further, for systematic Investment Plan units will be allotted as per the closing NAV of the day when funds are available for utilization by the target investment strategy, irrespective of the systematic installment date.

**II. APPLICABLE NAV FOR REDEMPTIONS INCLUDING SWITCH-OUT OF UNITS AS PER REDEMPTION FREQUENCY :**

- In respect of valid applications received upto 3.00 p.m. by the Specialized Investment Fund, same day's closing NAV shall be applicable.
- In respect of valid applications received after 3.00 p.m. by the Specialized Investment Fund, the closing NAV of the next business day shall be applicable.

  While the Applicable NAV shall be as per cut-off time specified above, the NAV shall be declared in accordance with the provisions as mentioned in this Investment strategy Information Document.

**Subscription frequency**

The Investment Strategy will be open for daily subscription for all business days.

The Subscription frequency defined is in line with clause 21.3 of Master Circular.

**Redemption frequency**

The Investment Strategy will offer daily redemption for all business days.

A penal interest of 15% p.a. or such other rate as may be prescribed by SEBI from time to time, will be paid in case the payment of redemption proceeds is not made within the stipulated timelines.

The Redemption frequency defined is in line with clause 21.3 of Master Circular.

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| **Minimum Investment Threshold** | In terms of Regulation 49(1) of SEBI (Mutual funds) Regulations, 2026, Apex SIF shall not accept from an investor an investment amount less than ten lakh rupees across all investment strategies offered by Apex SIF at a Permanent Account Number ('PAN') level (Minimum Investment threshold)<br>The AMC will monitor compliance with the Minimum Investment Threshold on a daily basis and ensure that there are no active breaches. The AMC will ensure that the investor's total investment value does not fall below the Minimum Investment Threshold due to redemption transactions initiated by the investor.<br>The Minimum Investment Threshold of INR 10 lakh shall apply exclusively to investments under Apex SIF and shall not include investments made by the investors in schemes of Aditya Birla Sun Life Mutual Fund.<br>Provided that the requirement of minimum investment amount shall not apply to an accredited investor and for mandatory investments made by AMC for designated employees under paragraph 7.13 of the Master Circular.<br>Passive breaches (occurrence of instances not arising out of omission and commission by AMC), such as those caused by a decline in Net Asset Value (NAV), shall not be treated as a violation of the Minimum Investment Threshold. However, if the total investment value falls below the threshold due to a passive breach, the investor shall only be permitted to redeem the entire remaining investment amount from the SIF.<br>Active Breaches shall mean fall in the aggregate value of an investor's total investment across all investment strategies of SIF, below the Minimum Investment Threshold of INR 10 lakh, on account of any transactions (i.e. redemption, transfer, sale etc.) initiated by the investor.<br>In case of any active breach of the Minimum Investment Threshold by an investor including through transactions on stock exchanges or off-market transfers:<br>(a) all units of such investor held across investment strategies of the concerned SIF shall be frozen for debit, and<br>(b) a notice of 30 calendar days shall be given to such investor to rebalance the investments in order to comply with the Minimum Investment Threshold.<br>Pursuant to the said notice issued to the investor:<br>(i) in case investor rebalances his/her investments in SIF within the notice period of 30 calendar days, the units of SIF of such investor shall be unfreezed, and no further action shall be taken with regard to compliance with Minimum Investment Threshold.<br>(ii) in case the investor fails to rebalance the investments within the aforesaid 30 calendar day period, the frozen units shall be automatically redeemed by the AMC, at the applicable Net Asset Value of the next immediate business day after the 30th calendar day of the notice period. |
| **Minimum Application Amount/ Number of Units** | **Minimum Application Amount/switch in:-**<br>During NFO:-<br>• ₹ 10 lakh and in multiples of ₹ 1 thereafter subject to the minimum investment threshold guidelines as per the SIF framework.<br>• Minimum amount for accredited investor during NFO: ₹ 1,00,000/-and in multiples of ₹ 1/-thereafter.<br>• Systematic Investment Plan (SIP) can be registered by existing investors who have complied with minimum investment threshold requirement of ₹ 10 Lakh. Minimum Amount for SIP: ₹ 10,000 and in multiples of ₹ 1/- thereafter.<br>The minimum investment requirement as stated above shall not apply to existing investors under Apex SIF who have complied with the minimum threshold requirement. For such investors the minimum application amount will be ₹ 10,000/- and in multiples of ₹ 1/- thereafter.<br>On continuous basis:-<br>• ₹ 10 lakh and in multiples of ₹ 1 thereafter subject to the minimum investment threshold guidelines as per the SIF framework.<br>• Minimum amount for accredited investor and Continuous basis: ₹ 1,00,000/-and in multiples of ₹ 1/-thereafter.<br>• Systematic Investment Plan/ Systematic Transfer Plan/Systematic Withdrawal Plan- ₹ 10,000/- and in multiples of ₹ 1 thereafter subject to the minimum investment threshold. Minimum frequency as monthly and minimum no. of 6 (six) instalment.<br>• The minimum investment requirement as stated above shall not apply to existing investors under Apex SIF who have complied with the minimum threshold requirement. For such investors the minimum application amount will be ₹ 10,000/- and in multiples of ₹ 1/- thereafter.<br>Systematic Investment Plan (SIP), Systematic Transfer Plan (STP) Systematic Withdrawal Plan (SWP) shall only commence upon re-opening of the Investment Strategy.<br>**Minimum Additional Purchase Amount-** ₹ 10,000 and in multiples of ₹ 1 thereafter.<br>**Minimum Redemption/switch out amount during Specified Transaction Period -** ₹ 10,000 and in multiples of ₹ 1 thereafter.<br>In case of partial redemption, if the balance amount held in the unitholder's folio/account under the plan/option of the investment strategy(s) is less than ₹ 1, then the transaction shall be treated as "All Units' redemption and the entire balance of available units in the folio/account of the unitholder shall be redeemed.<br>The Redemption would be permitted to the extent of clear credit balance in the Unit holder's account. The Redemption request can be made by specifying the rupee amount or by specifying the number of Units to be redeemed. If a Redemption request is for both, a specified rupee amount and a specified number of Units, the specified number of Units will be considered the definitive request. If only the Redemption amount is specified by the Unit holder, the AMC will divide the Redemption amount so specified by the Redemption Price to arrive at the number of Units. The request for Redemption of Units could also be in fractions, upto three decimal places. However, in case of units held in electronic (demat) mode, the redemption request can be given only in number of Units. Also Switch transactions are currently not available in case of units held in electronic (demat) mode. The minimum amount of Redemption may be changed in future by the AMC. If the balance in the account of the Unit holder does not cover the amount. Redemption request, then the Mutual Fund is authorised to close the account of the Unit holder and send the entire such (lesser) balance to the Unit holder.<br>The redemption will be subject to compliance with provisions mentioned under "Minimum Investment threshold" |
| **Notice period**<br>(Maximum duration of notice period shall not exceed 15 working days.) | Not applicable |
| **Despatch of Repurchase (Redemption) Request** | Redemption or repurchase proceeds shall be dispatched to the unitholders within three working days from the date of redemption or repurchase. However, in case of exceptional circumstances mentioned in Clause 15.3.3. of Master Circular, redemption or repurchase proceeds will be transferred / dispatched to Unitholders within the time frame prescribed for such exceptional circumstances. For further details, investors are requested to refer to Apex SIF SAI.<br>A penal interest of 15% p.a. or such other rate as may be prescribed by SEBI from time to time, will be paid in case the payment of redemption proceeds is not made within the stipulated timelines. |
| **Benchmark Index** | NIFTY 500 TRI. |
| **IDCW Policy** | **Currently, the investment strategy is not offering IDCW option.**<br>Under IDCW option, it is proposed to declare IDCW subject to the availability of distributable surplus as computed in accordance with SEBI Regulations. IDCW, if declared, will be paid (subject to deduction of tax at source, if any) to those unitholders, whose names appear in the register of unitholders on the notified record date. AMC reserves the right to change the record date from time to time. However, it must be distinctly understood that actual declaration of IDCW and frequency thereof is at the discretion of Trustees. There is no assurance or guarantee to unitholders as to the rate of IDCW nor that will the IDCW be paid regularly. On payments of IDCW, the NAV will stand reduced by the amount of IDCW paid and other statutory levies, if applicable.<br>**IDCW Distribution Procedure:**<br>The salient features with respect to the IDCW distribution, in accordance with Chapter 12 of Master Circular are as follows:<br>• Quantum of IDCW and Record date shall be fixed by the Trustees.<br>• AMC shall issue a notice to the public communicating the decision about IDCW including the record date, within one calendar day of the decision made by the trustees in their meeting.<br>Record date shall be the date that will be considered for the purpose of determining the eligibility of investors whose name appear on the register of unitholders. Record date shall be two working days from the date of publication in at least one English newspaper or in a newspaper published in the language of the region where the Head Office of the mutual fund is situated, whichever is issued earlier. However, the aforesaid procedure shall not be applicable for plan/ options having frequency of IDCW distribution from daily upto monthly. |

**Name and Tenure of the Fund Manager**

Mr. Manish Gupta and Mr. Harshil Suvarnkar will be the fund managers for this investment strategy.

Tenure:- This is new Investment Strategy

**Name of the Trustee Company**

Aditya Birla Sun Life Trustee Private Limited

**Performance of the Investment Strategy**

This investment strategy is a new investment strategy and does not have any performance track records.

**Expenses of the Investment Strategy**

**Exit Load:**

- For redemption/switch-out of units on or before 365 days from the date of allotment: 1% of applicable NAV.
- For redemption/switch-out of units after 365 days from the date of allotment: Nil.

Further, no exit load shall be levied for switch of investments from Regular Plan to Direct Plan of the same Investment strategy or from any Discontinued plan/option of the Investment strategy to Continuing Plan/options under same Investment Strategy.

The Load Structure is subject to change from time to time and shall be implemented prospectively and will be calculated on First in First Out (FIFO) basis.

**Annual Recurring Expenses:**

These are the fees and expenses for operating the Investment Strategy. These expenses include Investment Management and Advisory Fee charged by the AMC, Registrar and Transfer Agents' fee, marketing and selling costs etc. as given in the table related to maximum permissible expense below:

The AMC has estimated that upto 2.10 % of the daily average net assets of the Investment Strategy shall be charged to the Investment Strategy as expenses. For the actual current expenses being charged, the investor should refer to the website of the Apex SIF. Further, any change in the base expense ratio will be updated on Apex SIF website and the same will be communicated to investor via SMS / e-mail 3 working days prior to the effective date of change.

As per Regulation 66(7)(c) of SEBI (MF) Regulations, the base expenses of the investment strategy, including Investment Management and Advisory Fees, shall be subject to the following limits as specified below:

| Assets under management Slab (In ₹ crore) | Maximum Base Expense Ratio (BER) limits |
|---|---|
| on the first ₹ 500 crores of the daily net assets | 2.10% |
| on the next ₹ 250 crores of the daily net assets | 1.90% |
| on the next ₹ 1,250 crores of the daily net assets | 1.60% |
| on the next ₹ 3,000 crores of the daily net assets | 1.50% |
| on the next ₹ 5,000 crores of the daily net assets | 1.40% |
| On the next ₹ 40,000 crores of the daily net assets | Expense ratio reduction of 0.05% for every increase of ₹ 5,000 crores of daily net assets or part thereof. |
| On balance of the assets | 0.95% |

In addition to base expenses permissible within limits of Regulation 66(7)(c) of SEBI (MF) Regulations, 2026 as above, the following expenses are charged to the investment strategy in terms of Regulation 66(9) and 66(10) of SEBI (MF) Regulations, 2026:

(a) Brokerage cost incurred for the purpose of execution of trade shall be charged to the investment strategy over and above BER limits, subject to maximum of 0.06% and 0.02% for cash market transactions and derivatives transactions respectively. Expense charged towards brokerage, in excess of above mentioned limits will form part of BER.

(b) Transaction cost incurred for the purpose of execution of a trade i.e., regulatory levies and any other expenses charged by the stock exchanges, clearing corporation, and clearing house, as applicable shall not form part of the base expense ratio.

(c) Statutory levies include any levy imposed by central and state government.

Total Expenses ratio as per Regulation 67 of SEBI (MF) Regulations, 2026 will be total of expenses charged within base expenses limit, brokerage cost, transaction cost and statutory levies charged to investors. The AMC has estimated the following recurring expenses, as detailed in the table related to maximum permissible expense below. The expenses are estimated to have been made in good faith as per the information available to the AMC based on past experience and are subject to change inter se.

The purpose of the below table is to assist the investor in understanding the various costs and expenses that an investor in the scheme will bear directly or indirectly.

**Maximum estimated permissible expense as a % per annum of daily net assets:**

| Expense Head | % p.a. of daily Net Assets* |
|---|---|
| Investment Management & Advisory Fee | Upto 2.10% |
| Audit fees/fees and expenses of trustees | |
| Custodial Fees | |
| Registrar & Transfer Agent Fees including cost of providing account statements / IDCW / redemption cheques/ warrants | |
| Marketing and selling expenses including fees, commission and charges towards distribution of mutual fund schemes | |
| Costs related to investor communications | |
| Costs of fund transfer from location to location | |
| Cost towards investor education, awareness and financial inclusion | |
| Brokerage & transaction cost pertaining to execution of trade* | |
| Cost of statutory advertisements | |
| Other Expenses (to be specified as per Reg 66 of SEBI MF Regulations) | |
| **Maximum Base Expenses Ratio (BER) permissible under Regulation 66** | **Upto 2.10%** |
| Statutory levies (including GST) on all expenses excluding brokerage and transaction cost | GST of 18% or any other rate as may be levied from time to time on the Taxable/ Invoice/Contract amount.<br>Additionally, levies such as Stamp Duty, STT etc. |
| Statutory levies (including GST) on brokerage and transaction cost | Will be charged on Turnover of securities which will be at prescribed rates. |

The above estimates for recurring expense are for indicative purposes only and have been made in good faith as per the information available to the AMC based on past experience.

* over and above 0.06% and 0.02% for cash market transactions and derivatives transactions respectively.

**Note:**

(a) The TER of the Direct Plan will be lower to the extent of the abovementioned distribution expenses/ commission which is charged in the Regular Plan.

(b) In terms of para 11.9.1 of Master Circular, the AMC / Mutual Fund shall annually set apart at least 2 basis points (i.e. 0.02%) on daily net assets of the Investment Strategy within the maximum base expense ratio limit as per Regulation 66(7) of the SEBI (MF) Regulations for investor education, awareness and financial inclusion initiatives.

(c) **Maximum Permissible expense:** The maximum total expense ratio (TER) that can be charged to the Investment Strategy will be subject to such limits as prescribed under the SEBI (MF) Regulations. Also, the types of expenses charged shall be as per the SEBI (MF) Regulations.

| | |
|---|---|
| | (d) In order to encourage mutual fund distributors to expand their outreach and create awareness among new investors in terms of regulations 66(6) of SEBI (MF) Regulations ,2026 the mutual fund distributors shall be eligible for additional commission in the following manner:<br>• New individual investors (new PAN) from B-30 cities, at the mutual fund industry level<br>• New women individual investors (new PAN) from both Top 30 and B-30 cities<br>Incentive Structure:<br>**Sr. No.** / **Investment Mode** / **Commission Structure**<br>1 / Lump Sum Investment / 1% of the amount of the first application subject to a maximum of ₹ 2,000, provided the investor remains invested for a minimum period of one year<br>2 / Systematic Investment Plan (SIP) / 1% of the total investment made during the first year, subject to a maximum of ₹ 2,000<br>The additional distribution commission shall be paid from the 2 basis points on daily net assets, mandated to be set apart annually by AMCs for investor education awareness and financial inclusion initiatives, subject to adequate claw back provisions.<br>The additional commission specified above shall be in addition to the existing trail commission paid to the distributor from the scheme.<br>Distributors shall be eligible to receive the additional commission for mobilizing investments from new women investors from Top-30 cities and in cases where the commission for new investment from B-30 cities has not been claimed for the same woman investor/ investment. Dual incentives for the same investor/investment shall not be permitted.<br>Investors should note that all Investment Strategy related expenses including commission paid to distributors will necessarily be paid from the Investment Strategy only within the regulatory limits and not from the books of the ABSLAMC, its associate, sponsor, trustee or any other entity through any route.<br>The total recurring expenses of the Investment Strategy excluding issue or redemption expenses, whether initially borne by the Mutual Fund or by the AMC, but including the investment management and advisory fee, shall not exceed the limits as prescribed under Regulation 66 of the SEBI (MF) Regulations. |
| **Additional Investment Strategy related disclosures** | i. **Investment Strategy's portfolio holdings** (top 10 holdings by issuer and fund allocation towards various sectors to be provided through a functional website link that contains detailed description.) Not applicable since this is a new investment strategy<br>ii. **Functional website link for Portfolio Disclosure -** Not applicable since this is a new investment strategy<br>iii. **Portfolio Turnover Rate-** Not applicable since this is a new investment strategy<br>iv. **Aggregate investment in the Investment Strategy by:** Not applicable since this is a new investment strategy<br>For any other disclosure w.r.t investments by key personnel and AMC directors including regulatory provisions in this regard kindly refer Apex SIF SAI.<br>v. **Investments of AMC in the Investment Strategy –**<br>Pursuant to Regulation 25(16A) of the SEBI (MF) Regulations, 2026 and para 6.9 of SEBI Master Circular on Mutual Funds, AMC will invest minimum amount as a percentage of AUM based on the risk band assigned to Investment Strategy and such investment will not be redeemed unless the Investment strategy is wound up.<br>The AMC will conduct quarterly review to ensure compliance with above requirement which may change either due to change in value of the AUM or in the risk value assigned to the Investment Strategy. The shortfall in value of the investment, if any, will be made good within 7 days of such review.<br>In addition to investments as mandated under Regulation 25(16A) of the Regulations as mentioned above, the AMC, may invest in the Investment strategy during the continuous offer period subject to the SEBI (MF). As per the existing SEBI (MF) Regulations, the AMC will not charge investment management and advisory fee on the investment made by it in the Investment strategy. The Sponsor, Trustee and their associates may invest in the Investment Strategy on an ongoing basis subject to SEBI (MF) Regulations & circulars issued by SEBI and to the extent permitted by its Board of Directors from time to time.<br>Investors can view the AMC investment (if any) on https://apexsif.adityabirlacapital.com |
| **Daily Net Asset Value (NAV) Publication** | The AMC will calculate and disclose the first NAV(s) of the Investment Strategy not later than 5 (five) Business days from the date of allotment. Thereafter, the NAVs will be calculated and disclosed for every Business Day. NAV of the investment strategy will be calculated up to two decimal places. AMC reserves the right to calculate NAV more than two decimal places. AMC shall update the NAV on the AMFI website (www.amfiindia.com) and on the website of the Apex SIF https://apexsif.adityabirlacapital.com/ by 11.00 pm, on all business days.<br>The Investment Strategy has investment in overseas securities, valuations of which are declared as per their different time zones, daylight savings and market hours. Thus, the timeline for disclosure of NAV is revised to capture same day price of such underlying overseas investment, while computing NAV of the Investment Strategy.<br>The Investment Strategy is permitted to take exposure to overseas securities. In such cases where the Investment Strategy has taken exposure to overseas securities, the NAV of the Investment Strategy would be declared by 10.00 a.m. of the immediately succeeding Business Day. In case the Investment Strategy ceases to hold exposure to any overseas securities, the NAV of the Investment Strategy for that day would continue to be declared at 10.00 am on the immediately succeeding Business Day. Subsequent to that day, NAV of the Investment Strategy shall be declared at 11.00 p.m., on the same business day.<br>In case of any delay, the reasons for such delay would be explained to AMFI in writing. If the NAVs are not available before commencement of business hours on the following day due to any reason, Mutual Fund shall issue a press release providing reasons and explaining when the Mutual Fund would be able to publish the NAVs.<br>Further, the Mutual Fund / AMC will extend facility of sending latest available NAVs of the Investment strategy to the Unit holders through SMS upon receiving a specific request in this regard. Also, information regarding NAVs can be obtained by the Unit holders / Investors by calling or visiting the nearest ISC. |
| **Tax treatment for the Investors Unitholders)** | Investors are advised to refer to the details in the Apex SIF Statement of Additional Information and also independently refer to his tax advisor. |
| **For Investor Grievances please contact** | • **Contact details for general service requests:**<br>Investors may contact the ISCs or the office of the AMC for any queries /clarifications. The Head Office of the AMC will follow up with the respective ISC to ensure timely redressal and prompt investor services.<br>• **Contact details for complaint resolution:**<br>Ms. Keerti Gupta can be contacted at the office of the AMC at One World Center, Tower 1, 17th Floor, Jupiter Mills, Senapati Bapat Marg, Elphinstone Road, Mumbai – 400013. Contact Nos: 1800-22-7000 / 1800-270-7000 (Toll free)<br>Email: care.apexsif@adityabirlacapital.com<br>For any grievances with respect to transactions through Stock Exchange Platform for Mutual Funds, the investors should approach either the stockbroker or the investor grievance cell of the respective stock exchange. |
| **Unitholders' Information** | **Monthly Portfolio Disclosures:-** In terms of SEBI Regulation, Apex SIF will disclose portfolio (along with ISIN) as on the last day of the month for all Investment Strategy on its website https://apexsif.adityabirlacapital.com/ and on the website of AMFI (www.amfiindia.com) within 10 days from the close of each month respectively in a user-friendly and downloadable spreadsheet format. The AMCs will send to Unitholders a complete statement of the investment strategy portfolio, within ten days from the close of each month whose email addresses are registered with Apex SIF. AMCs will also provide a physical copy of the statement of its scheme portfolio, without charging any cost, on specific request received from a unitholder.<br>**Half yearly results: -** Mutual Fund / AMC shall within one month from the close of each half year, (i.e. 31st March and on 30th September), host a soft copy of its unaudited financial results on its Apex SIF website. Written communication (including digital modes such as email/SMS etc.) shall be sent to unitholders by the AMC about the availability of financial results.<br>https://apexsif.adityabirlacapital.com/ |

| | |
|---|---|
| | **Annual report: -** The digital copy of Annual report or an abridged summary of investment strategies thereof shall be provided to all Unitholders not later than four months from the date of closure of the relevant accounting year whose email addresses are registered with the Mutual Fund. The physical copies of Investment strategy wise Annual report will also be made available to the unitholders, at the registered offices at all times. The investment strategy wise annual report will also be hosted on AMFI and Apex SIF website https://apexsif.adityabirlacapital.com/<br>**Investment Strategy Summary Document:-** The AMC is required to prepare an investment strategy summary document for all investment strategies of the Fund. The Investment strategy summary document is a standalone investment strategy document that contains all the applicable details of the investment strategy . The document is updated by the AMCs on a monthly basis or on changes in any of the specified fields, whichever is earlier. The document is available on the websites of Apex SIF https://apexsif.adityabirlacapital.com AMFI and Stock Exchanges in 3 data formats, namely: PDF, Spreadsheet and a machine readable format (either JSON or XML).<br>**Risk Band:-** Risk band shall be evaluated on a monthly basis and Mutual Funds/AMCs shall disclose the Risk band for their investment strategies on Apex SIF https://apexsif.adityabirlacapital.com/ and on AMFI website within 10 days from the close of each month. Mutual Funds shall also disclose the risk level of investment strategies as on March 31 of every year, along with number of times the risk level has changed over the year, on Apex SIF website and AMFI website. |

APEXSIF

Powered by **Aditya Birla Sun Life Mutual Fund**

# APPLICATION FORM - Apex Equity Long-Short Fund

**(An open ended investment strategy investing in listed equity and equity related instruments including limited short exposure in equity through derivative instruments )**

Offer of units of Rs. 10 each during the New Fund Offer and Continuous offer for Units at NAV based prices

**New Fund Offer Opens:** Monday, August 10, 2026 | **New Fund Offer Closes:** Monday, August 24, 2026

**Investment strategy re-opens on:** Within 5 Business days from the date of allotment

| This Product is suitable for investors who are seeking*: | Risk-band* | Benchmark Risk-band (NIFTY 500 TRI) |
|---|---|---|
| • Capital appreciation over long term<br>• An open ended equity investment strategy investing in listed equity and equity related instruments including limited short exposure in equity through derivative instruments. | LOWER RISK 1 2 3 4 5 HIGHER RISK<br>Risk Level 5 | LOWER RISK 1 2 3 4 5 HIGHER RISK<br>Risk Level 3 |

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

The above product labelling assigned during the New Fund Offer (NFO) is based on internal assessment of the characteristics of the investment strategy or model portfolio and the same may vary post NFO when the actual investments are made.

**(Please read the instructions before filling up the form. All sections to be completed in english in black / blue coloured ink and in block letters.)**

| Distributor Name & ARN/ RIA No. | Sub Broker Name & ARN/ RIA No. | Sub Broker Code | Employee Unique ID. No. (EUIN) | Application No. |
|---|---|---|---|---|
| | | | E | |

EUIN is mandatory for "Advisory Transactions". Ref. Instruction No. 9 : I/we hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

| First Applicant / Authorised Signatory | Second Applicant | Third Applicant |
|---|---|---|
| | | |

**Existing Folio No.**

**Existing Unitholder please fill in your Folio No., Name & Email ID and then proceed to Section 5 (Applicable details and Mode of holding will be as per the existing Folio No.)**

## 1. APPLICANT INFORMATION (MANDATORY) (Refer Instruction No. 2,3,4) Fresh / New Investors fill in all the blocks. (1 to 8) In case of investment "On behalf of Minor", Please Refer Instruction no. 2(ii)

**Name of First/Sole Applicant (as per PAN Card)** Mr. Ms. M/s.

**PAN (Mandatory)** Date of Birth (Mandatory) D D M M Y Y Y Y **Gender** ☐ Male ☐ Female ☐ Other

**CKYC Number** (Prefix if any) 14 digit CKYC Number Mobile No. +91

Email ID

**This mobile number pertains to (Mandatory):** ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Parents ☐ Dependent Siblings ☐ Guardian ☐ POA ☐ PMS ☐ Custodian (For FPI'S only)

**This email id pertains to (Mandatory):** ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Parents ☐ Dependent Siblings ☐ Guardian ☐ POA ☐ PMS ☐ Custodian (For FPI'S only)

**Name of the Second Applicant (as per PAN Card)** Mr. Ms. M/s.

**PAN (Mandatory)** Date of Birth (Mandatory) D D M M Y Y Y Y **Gender** ☐ Male ☐ Female ☐ Other

**CKYC Number** (Prefix if any) 14 digit CKYC Number Mobile No. +91

Email ID

**This mobile number pertains to (Mandatory):** ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Parents ☐ Dependent Siblings ☐ Guardian

**This email id pertains to (Mandatory):** ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Parents ☐ Dependent Siblings ☐ Guardian

**Name of the Third Applicant (as per PAN Card)** Mr. Ms. M/s.

**PAN (Mandatory)** Date of Birth (Mandatory) D D M M Y Y Y Y **Gender** ☐ Male ☐ Female ☐ Other

**CKYC Number** (Prefix if any) 14 digit CKYC Number Mobile No. +91

Email ID

**This mobile number pertains to (Mandatory):** ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Parents ☐ Dependent Siblings ☐ Guardian

**This email id pertains to (Mandatory):** ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Parents ☐ Dependent Siblings ☐ Guardian

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**Acknowledgement Slip** (To be filled in by the Investor) **Apex Equity Long-Short Fund**

Application No.

Received from Mr. / Ms. ____________________________________________________ Date : _____/_____/__________

[Please Tick (✓)] Enclosed ☐ PAN Proof ☐ KYC Complied

Collection Centre / ABSLAMC Stamp & Signature

**Aditya Birla Sun Life AMC Limited**

Regn. No.: 109. Regd Office: One World Center, Tower 1, 17th Floor, Jupiter Mills,
Senapati Bapat Marg, Elphinstone Road, Mumbai 400013.
1800 270 7000 | care.apexsif@adityabirlacapital.com | https://apexsif.adityabirlacapital.com/ | CIN: L65991MH1994PLC080811

## 1. FIRST / SOLE APPLICANT INFORMATION (MANDATORY) (Contd...)

Name of the Guardian (as per PAN Card) (In case First / Sole Applicant is minor) / Contact Person - Designation - Poa Holder (In case of Non-individual Investors)

Mr. Ms. M/s.

PAN (Mandatory) | Date of Birth (Mandatory) D D M M Y Y Y Y | Gender ☐ Male ☐ Female ☐ Other

CKYC Number (Prefix if any) 14 digit CKYC Number

Relationship of Guardian in case first holder is minor (Refer Instrcution No. 2(ii)) ☐ Father ☐ Mother ☐ Legal Guardian

Please provide the proof for Relationship with minor ☐ Birth Certificate ☐ Passport ☐ Other ____________ (Please Specify)

### Tax Status [Please tick (✓)] (Applicable for First / Sole Applicant) (Please Refer Instruction No. 2(vii))

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| ☐ Resident Individual | ☐ NRI-NRE | ☐ PIO | ☐ HUF | ☐ NPO | ☐ Bank and FI | ☐ Company | ☐ Government Body |
| ☐ Minor | ☐ NRI-NRO | ☐ AOP/BOI | ☐ Club/Society | ☐ Partnership firm | ☐ FPIs | ☐ Public Limited Company | ☐ OCI - Non Repatriation |
| ☐ Sole Proprietor | ☐ NRI - Minor | ☐ NRI - Minor (NRO) | ☐ Trust | ☐ Provident Fund | ☐ Body Corporate | ☐ Private Limited Company | ☐ OCI - Repatriation |

☐ Others ____________ (Please Specify)

**Non-Profit Organization** (Mandatory) ☐ Yes ☐ No If Yes, Please quote Registration No. of Darpan Portal ____________

**MODE OF HOLDING** [Please tick (✓)] (Please Refer Instruction No. 2(v)) ☐ Joint ☐ Single ☐ Anyone or Survivor (Default option is Anyone or survivor)

### KYC DETAILS (Mandatory)

**OCCUPATION** [Please tick (✓)]

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| FIRST APPLICANT | ☐ Private Sector Service | ☐ Public Sector Service | ☐ Government Service | ☐ Business | ☐ Professional | ☐ Agriculturist | ☐ Retired | ☐ Housewife |
| | ☐ Student | ☐ Forex Dealer | ☐ Others ........ (please specify) | | | | | |
| SECOND APPLICANT | ☐ Private Sector Service | ☐ Public Sector Service | ☐ Government Service | ☐ Business | ☐ Professional | ☐ Agriculturist | ☐ Retired | ☐ Housewife |
| | ☐ Student | ☐ Forex Dealer | ☐ Others ........ (please specify) | | | | | |
| THIRD APPLICANT | ☐ Private Sector Service | ☐ Public Sector Service | ☐ Government Service | ☐ Business | ☐ Professional | ☐ Agriculturist | ☐ Retired | ☐ Housewife |
| | ☐ Student | ☐ Forex Dealer | ☐ Others ........ (please specify) | | | | | |

**GROSS ANNUAL INCOME** [Please tick (✓)]

| | | | | | | |
|---|---|---|---|---|---|---|
| FIRST APPLICANT (in INR terms) | ☐ Below 1 Lac | ☐ 1-5 Lacs | ☐ 5-10 Lacs | ☐ 10-25 Lacs | ☐ > 25 Lacs - 1 Crore | ☐ > 1 Crore |
| | Net worth (Mandatory for Non - Individuals) ₹ ____________ as on D D M M Y Y Y Y [Not older than 1 year] | | | | | |
| SECOND APPLICANT (in INR terms) | ☐ Below 1 Lac | ☐ 1-5 Lacs | ☐ 5-10 Lacs | ☐ 10-25 Lacs | ☐ > 25 Lacs - 1 Crore | ☐ > 1 Crore OR Net Worth ____________ |
| THIRD APPLICANT (in INR terms) | ☐ Below 1 Lac | ☐ 1-5 Lacs | ☐ 5-10 Lacs | ☐ 10-25 Lacs | ☐ > 25 Lacs - 1 Crore | ☐ > 1 Crore OR Net Worth ____________ |

**For Individuals**

| | I am Politically Exposed Person | I am Related to Politically Exposed Person | Not Applicable |
|---|---|---|---|
| Sole/First Applicant | ☐ | ☐ | ☐ |
| Second Applicant | ☐ | ☐ | ☐ |
| Third Applicant | ☐ | ☐ | ☐ |

**For Non-Individual Investors (Companies, Trust, Partnership etc.)**

| | | |
|---|---|---|
| Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company: (If No, please attach mandatory UBO Declaration) | ☐ Yes | ☐ No |
| Foreign Exchange / Money Charger Services | ☐ Yes | ☐ No |
| Gaming / Gambling / Lottery / Casino Services | ☐ Yes | ☐ No |
| Money Lending / Pawning | ☐ Yes | ☐ No |

**MAILING ADDRESS OF FIRST / SOLE APPLICANT** (P. O. Box Address is not sufficient. Please provide full address.)

CITY

STATE PINCODE

**OVERSEAS ADDRESS** (Mandatory for NRI/FPI Applicant.)

CITY COUNTRY ZIP CODE

## 2. GO GREEN [Please tick (✓)] (Refer Instruction No. 10)

☐ SMS Transact ☐ Online Access I/ We would like to register for my/our SMS Transact and/or Online Access

Default Communication mode is E-mail only, if you wish to receive following document(s) via physical mode: [Please tick (✓)] ☐ Account Statement ☐ Annual Report ☐ Other Statutory Information

## 3. BANK ACCOUNT DETAILS (In case of Minor investment, bank details should be of the minor, parent or legal guardian of the minor, or joint account of the minor with parent or legal guardian) Refer Instruction No. 3(A)

Name of the Bank

Branch Address

Pin Code City

Account No. Account Type [Please tick (✓)] ☐ SAVINGS ☐ CURRENT ☐ NRE ☐ NRO ☐ FCNR ☐ OTHERS ____________ (Please Specify)

11 Digit IFSC Code 9 Digit MICR Code**

**LEI NUMBER** Expiry Date: D D M M Y Y Y Y

(LEI Number is Mandatory for Non - Individuals transacting / proposing to transact for an amount of INR 50 crores or more) (Refer Instruction 2 (ix))
**If MICR and IFSC code for Redemption/Payout of IDCW Option is available all payouts will be automatically processed as Electronic Payout-RTGS/NEFT/Direct Credit. (Refer Instruction 8 & 12)

| | | |
|---|---|---|
| Apex Equity Long-Short Fund | Plan | ☐ Regular ☐ Direct |
| | Options / Sub Options | ☐ Growth Option |

**Default Plan: Refer KIM for Details. Default Options/ Sub Options: Growth Option**
**^The amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.**

Investment Amount (₹) ____________

Net Amount (₹) ____________ Cheque No. ____________ Dated ____/____/_______

Drawn on Bank ____________

**4. DEMAT ACCOUNT DETAILS (OPTIONAL)** (If Demat details are provided, units will be compulsorily given in Demat form only) (Please ensure that the sequence of names as mentioned in the application form matches with that of the A/c. held with the depository participant.) Refer Instruction No. 3(B)

NSDL: Depository Participant Name: ______________________________ DPID No.: I N | | | | | | Beneficiary A/c No.

CDSL: Depository Participant Name: ____________________________________ Beneficiary A/c No.

Enclosed: ☐ Client Master ☐ Transaction/ Statement Copy/ DIS Copy

**5.**

| | |
|---|---|
| **Accredited Investor**<br>*Minimum of ₹ 100000/- and in multiples of ₹ 1/- thereafter.<br>Certificate Number & Validity<br>**(Please submit copy of Registration certificate)** | ☐ Yes ☐ No<br>**Certificate Number**____________________<br>**Validity Upto** D D M M Y Y Y Y |

**6. INVESTMENT DETAILS** [Please tick (✓)] (Refer Instruction No. 5, 9 & 14) (If this section is left blank, only folio will be created)

| | | |
|---|---|---|
| **Apex Equity Long-Short Fund** | **Plan** | ☐ Regular ☐ Direct |
| | **Options / Sub Options** | ☐ Growth Option |

**Default Plan: Refer KIM for Details. Default Options/ Sub Options: Growth Option**
**^The amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.**

**7. PAYMENT DETAILS** Refer Instruction No. 5. (Please mention the application Serial number and the first applicant's name on the reverse of the Cheque. Please ensure there is only one Cheque submitted per application form ).

| | |
|---|---|
| Mode of Payment | **[Please tick (✓)]** ☐ **Cheque** **Cheque should be drawn favouring "Apex Equity Long-Short Fund"**<br>☐ **RTGS / NEFT / Fund Transfer Letter** ☐ **Other**_(please specify)_ |

Investment Amount (₹)# | | Amount in figure(₹)

Cheque No. | | Dated D D M M Y Y | Bank Name & Branch

Account No. | | UTR No. | | (In case of RTGS/NEFT)

*To be filled in by investors residing at the location, where the AMC Branches /Collection Bank centres are not located.
#Minimum of INR 10 lakh and in multiples of INR 1 thereafter, subject to the Minimum Investment Threshold guidelines as per the SIF framework.
The minimum investment requirement shall not apply to existing investors under Apex SIF who have complied with the minimum threshold requirement. For such investors the minimum application amount will be ₹ 10,000/- and in multiples of ₹ 1/- thereafter.

**8. NOMINATION DETAILS (Mandatory)** (Refer Instruction No. 7)

☐ I/We wish to nominate ☐ I/We do not wish to nominate
I / We want the details of my / our nominee to be printed in the statement of holding ☐ Yes ☐ No (Default will be No if not filled)
☐ Nominee Email ID/Mobile no is same as investors Email ID/Mobile no. ☐ Nominee address same as investors address.

| Nominee Name$ | PAN / DL / Aadhaar (last 4 digits) ***$ | Nominee DOB / Relationship with primary unitholder$ | Share %** | Guardian Name and Relationship (In case of Minor)$ | Email Id/ Mobile No$ | Address$ |
|---|---|---|---|---|---|---|
| Nominee 1 | | D D M M Y Y<br>Relationship | | Guardian Name:<br>Relationship: | Email:<br>Mobile: | |
| Nominee 2 | | D D M M Y Y<br>Relationship | | Guardian Name:<br>Relationship: | Email:<br>Mobile: | |
| Nominee 3 | | D D M M Y Y<br>Relationship | | Guardian Name:<br>Relationship: | Email:<br>Mobile: | |

$ Mandatory - Request may be rejected if info is not available
** if % is not specified, then the assets shall be distributed equally amongst all the nominees (see table in 'Transmission aspects').
*** Provide only number: PAN or Driving Licence or Aadhaar (last 4). Copy of the document is not required.
1. I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s).

| | | |
|---|---|---|
| **Signature of the 1st unitholder** | **Signature of the 2nd unitholder** | **Signature of the 3rd unitholder** |

| Name of Witness | Address | Signature of Witness |
|---|---|---|
| Witness 1 | | |
| Witness 2 | | |

*Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

## 9. FATCA & CRS INFORMATION [Please tick (✓)] For Individual Investors including Sole Proprietor (Non Individual Investors should mandatorily fill seperate FATCA detail form)

The below information is required for all applicant(s)/ guardian

Address Type: ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office (for address mentioned in form/existing address appearing in Folio)

Is the applicant(s)/ guardian's Country of Birth / Citizenship / Nationality / Tax Residency other than India? ☐ Yes ☐ No

If Yes, please provide the following information along with self-attested proof of TIN [mandatory]

Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

| Category | First Applicant (including Minor) | Second Applicant/ Guardian | Third Applicant |
|---|---|---|---|
| Name of Applicant | | | |
| Place/ City of Birth | | | |
| Country of Birth | | | |
| Country of Tax Residency# | | | |
| Tax Payer Ref. ID No^ | | | |
| Identification Type [TIN or other, please specify] | | | |
| Country of Tax Residency 2 | | | |
| Tax Payer Ref. ID No. 2 | | | |
| Identification Type [TIN or other, please specify] | | | |
| Country of Tax Residency 3 | | | |
| Tax Payer Ref. ID No. 3 | | | |
| Identification Type [TIN or other, please specify] | | | |

#To also include USA, where the individual is a citizen/green card holder of USA. ^In case Tax Identification Number is not available, kindly provide its functional equivalent.

## 10. DECLARATION(S) & SIGNATURE(S) (Refer Instruction No. 1)

| Date | D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|---|

**To,**
**The Trustee,**
**Aditya Birla Sun Life Trustee Private Limited.**

Having read and understood the contents of the Apex SIF Statement of Additional Information / Investment Strategy Information Document of the investment strategy including the risk band of the investment strategy, I/We hereby apply for units of the investment strategy and agree to abide by the terms, conditions, rules and regulations governing the investment strategy. I/We hereby declare that the amount invested in the investment strategy is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the government of India from time to time. I/We have understood the details of the investment strategy & I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment.

**For Non-Individual Investors:** I/We hereby confirm that the object clause of the constitution document of the entity (viz. MOA / AOA / Trust Deed, etc.), allows us to apply for investment in this investment strategy of Aditya Birla Sun Life AMC Limited and the application is being made within the limits for the same. I/We are complying with all requirements / conditions of the entity while applying for the investments and I/We, including the entity, if the case may arise so, hereby agree to indemnify ABSLAMC in case of any dispute regarding the eligibility, validity and authorization of the entity and/or the applicants who have applied on behalf of the entity.

**For NRIs only:** I/We confirm that I am/we are Non Residents of Indian Nationality/Origin and that I/we have remitted funds from abroad through approved banking channels or from funds in my/our Non-Resident External/Non-Resident Ordinary/FCNR account. (Refer Inst. No. 6)

I/We confirm that details provided by me/us are true and correct.**

** I have voluntarily subscribed to the on-line access for transacting through the internet facility provided by Aditya Birla Sun Life AMC Limited and confirm of having read, understood and agree to abide the terms and conditions for availing of the internet facility more particularly mentioned on the https://apexsif.adityabirlacapital.com/ and hereby undertake to be bound by the same. I further undertake to discharge the obligations cast on me and shall not at any time deny or repudiate the on-line transactions effected by me and I shall be solely liable for all the costs and consequences thereof.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing investment strategy of various Apex Equity Long-Short Fund from amongst which the investment strategy is being recommended to me/us.

"I / We acknowledge that the RIA has entered into an agreement with the AMC / APEX SIF for accepting transaction feeds under the code. I / We hereby indemnify, defend and hold harmless the AMC / APEX SIF against any regulatory action, damage or liability that they may suffer, incur or become subject to in connection therewith or arising from sharing, disclosing and transferring of the aforesaid information."

**FATCA & CRS Declaration:** I/ We have understood the information requirements of this Form (read along with FATCA & CRS Instructions) and hereby confirm that the information provided by me/ us on this Form is true, correct, and complete. I/ We also confirm that I/ We have read and understood the FATCA & CRS Terms and Conditions and hereby accept the same. (Refer Inst. No. 13)

| | | |
|---|---|---|
| Signature of First Applicant / Authorised Signatory | Signature of Second Applicant | Signature of Third Applicant |

# Instructions for filling the Application Form

**1. GENERAL INSTRUCTIONS**

i) Please read the terms of the **Key Information Memorandum, the Apex SIF Statement of Additional Information/Investment Strategy Information Document** and addenda issued from time to time carefully before filling the Application Form. Investors should also appraise themselves of the prevailing Load structure on the date of submitting the Application Form. Investors are deemed to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering payment.

ii) Application form should be completed in English and in **BLOCK LETTERS**. Please tick in the appropriate boxes wherever applicable.

iii) The signature should be in English or in any of the Indian languages specified in the eighth schedule of the Constitution of India. Thumb Impressions must be attested by a magistrate or a notary public or a special executive magistrate under his/her official seal. Applications by minors should be signed by the guardians. In case of H. U. F., the Karta should sign on behalf of the H.U.F.

iv) The application complete in all respects along with the cheque must be submitted to the nearest designated Investor Service Centre. Applications incomplete in any respect or not accompanied by cheque of the amount payable are liable to be rejected and the money paid will be refunded without interest.

v) No receipt will be issued for the application money. The designated Investors Service Centre will stamp and return the acknowledgment slip in the application form, to acknowledge receipt of the application.

vi) All cheques must be drawn in favour of "Investment Strategy Name" and crossed "Account Payee Only". A separate cheque must accompany each application /each investment strategy. In case the investment strategy name as provided by investor on the application form and on the payment instrument are different, the application shall be processed and units allotted of the investment strategy as mentioned in the application Form duly signed by investor.

vii) Investors already holding a folio for investments in products managed by Aditya Birla Sun Life AMC Limited can provide their existing Folio Number and Name of applicants(s) corresponding to the said folio. It is the responsibility of the Investor to ensure correctness of such details provided. The personal details and Bank Account details as registered in the existing folio number as provided would apply to the said investment and the registered details would prevail over any conflicting information furnished in this form. The AMC reserves the right to assign any of the existing Folio Number of the investor against multiple applications and / or subsequent purchases under this new application form lodged, with identical mode of holding and address and such other criterions and integrity checks as may be determined by the AMC from time to time.

**2. INVESTOR PARTICULARS**

i) Name and address must be given in full. P.O. Box address is not sufficient. In case of NRIs/ FPIs investors an overseas address must be provided.

**ii) "On behalf of Minor" Accounts: Name of Guardian must be mentioned if investments are being made on behalf of a minor. Date of birth is mandatory in case of minor. The minor shall be the first and the sole holder in the account (folio). No joint holder will be allowed in an account (folio) where minor is the first or sole holder. Guardian in the account (folio) on behalf of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian or such other category of investor who may be notified by SEBI from time to time and the same must be mentioned in the space provided in application form. Copy of document evidencing the date of birth of the minor and relationship of the guardian with the minor (whether natural or legal guardian) should mandatorily be provided while opening of the account (folio). Also, nomination shall not be allowed in a folio/account held on behalf of a minor.**

**The application is liable to get rejected if the applicant/s / guardian name does not match with PAN card.**

iii) In accordance with para 15.3 of SEBI Master Circular on MF dated March 20, 2026, payment for investment by any mode shall be accepted from the bank account of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal guardian, else the transaction is liable to get rejected. A copy of birth certificate, passport copy, etc. evidencing date of birth of the minor and relationship of the guardian with the minor, should be mandatorily attached with the application.

Further, irrespective of the source of payment for subscription, all redemption proceeds shall be credited only in the verified bank account of the minor, i.e. the account the minor may hold with the parent/ legal guardian after completing all KYC formalities.

iv) In case of an application under Power of attorney or by a limited company, body corporate, registered society, trust or partnership, etc the relevant Power of attorney or the relevant resolution or authority to make the application as the case maybe, or duly notarised copy thereof, along with the Memorandum and Articles of Association/ Bye Laws must be lodged with the application form.

v) Documentation to be submitted by Corporate Investors/Societies / Trusts /Partnership Firms/ FPIs

| | Corporate Investors | Trusts | Societies | Partnership Firms | FPIs | POA |
|---|---|---|---|---|---|---|
| Board/ Committee Resolution/ Authority Letter | ✓ | ✓ | ✓ | ✓ | ✓ | |
| Trust Deed | | ✓ | | | | |
| Partnership Deed | | | | ✓ | | |
| Bye-laws | | | ✓ | | | |
| List of authorised Signatories with name, designation & Specimen Signature | ✓ | ✓ | ✓ | ✓ | ✓ | |
| Overseas Auditor's certificate | | | | | ✓ | |
| Power of Attorney | | | | | | ✓ |

The Power of Attorney should necessarily be signed by both the investor and the constituent Power of Attorney. Where only uncertified photocopies of the documents are submitted / attached to the application form, the onus for authentication of the documents so submitted shall be on investors and the ABSLAMC / Apex SIF will accept and act in good faith on uncertified / not properly authenticated documents submitted/attached with the application form. Submission of such documents by investors shall be full and final proof of the non individual investor's authority to invest and the ABSLAMC/ Apex SIF shall not be liable under any circumstances for any defects in the documents so submitted. Non-Individual investors are required to ensure that the object clause of the constitution document (viz. MOA / AOA / Trust Deed, etc.) permits investment in the investment strategy(s) of Apex SIF. ABSLAMC / Apex SIF shall accept and process the applications made by these entities in good faith by relying on the undertaking given with respect to the authority, validity and compliance with all relevant formalities/conditions etc. in the application for making such investments with Apex SIF. Further, ABSLAMC/Apex SIF/Trustees or any of its affiliates shall not be liable in case of any dispute arising with respect to eligibility, validity and authorization of the entity and/or the applicants who have applied on behalf of the entity, as applicable.

vi) Applicants can specify the mode of holding in the application form as "Single" or "Joint" or "Anyone or Survivor". In the case of holding specified as "Joint", redemption and all other request/ transactions would have to be signed by all unit holders. However, in cases of holding specified as "Anyone or Survivor", any one of the unit holders will have the power to make all necessary requests, without it being necessary for all the unit holders to sign. In the event the account has more than one registered unit holders and the mode of holding is not specified in the application form, the default option for holding would be considered to be "anyone or survivor".

However, in all cases, the proceeds of all Income Distribution cum capital withdrawal option/redemption will be paid to the first named holder. All communications will also be sent to the first named holder.

vii) Investors should clearly indicate their preference of Plan/option on the application form. If no plan is selected in the application form, the investment will be deemed to be for the default option.

viii) We are falling under "Non-Profit Organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013) and have registered ourselves on DARPAN portal.

If not, please register immediately and confirm with the above information to avoid non processing of applications. Failure to get above confirmation or registration with the portal as mandated, wherever applicable will force Apex SIF / AMC to register your entity name in the above portal and may report to the relevant authorities as applicable. We are aware that we may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to us or collect such fines/charges in any other manner as might be applicable.

ix) As per the RBI circular "Introduction of Legal Entity Identifier for Large Value Transactions in Centralised Payment Systems" vide notification RBI/2020-21/82 DPSS.CO.OD No.901/06.24.001/ 2020-21 dated 5th January 2021. RBI vide this notification has decided to introduce the LEI system for all payment transactions of value INR 50 crore and above undertaken by entities (non-individuals) using Reserve Bank-run Centralised Payment Systems viz. Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT).

From April 1, 2021, 20-digit Legal Entity Identifier (LEI) information has to be provided while initiating any transaction of value INR 50 crore and above by entities (non-Individual).

**3 (A). BANK AND PERMANENT ACCOUNT NUMBER DETAILS**

**Bank Details:** In order to protect the interest of investors from fraudulent encashment of cheques, the SEBI Regulations have made it mandatory for investors to mention in their application / Redemption request, the bank name and account number.

**In case of Minor Accounts, irrespective of the source of payment for subscription, all redemption proceeds shall be credited only in the verified bank account of the minor, i.e. the account the minor may hold with the parent/ legal guardian after completing all KYC formalities.**

**PAN Details:** It is compulsory for all investors to quote their Permanent Account Number (PAN) and submit copy of the PAN card issued by the Income Tax Department, irrespective of the amount of investment, while making an application for Purchase of Units. In case of joint applicants, PAN details of all holders should be submitted. In case the investor making the application is a minor, PAN details of the Guardian must be submitted. Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission, however sufficient documentary evidence shall have to be submitted to Apex SIF for verifying that they are residents of State of Sikkim. Investors (being individuals) applying for Micro SIP registrations are exempt from mandatory requirement of PAN submission. For further details on Micro SIP, documents required etc please refer instructions in SIP Application Form.

**(B). DEMAT ACCOUNT DETAILS: Option to hold Units in dematerialized (demat) form**

Pursuant to para 15.72.2 of the SEBI Master Circular for MF dated March 20, 2026, investors have an option to subscribe to/hold units of investment strategy(s)/Plan(s) viz. open ended, close ended, Interval (except for exchange traded fund/s) in dematerialized (demat) form.

Consequently, the Unitholders under the investment strategy(s)/Plan(s) shall have an option to subscribe to/ hold the units in electronic (demat) form in accordance with the provisions laid under the respective investment strategy(s)/Plan(s) and in terms of the guidelines/procedural requirements as laid by the Depositories (NSDL/CDSL) from time to time. Units under Plan(s)/Option(s) of all investment strategy of Apex SIF with Income Distribution cum capital withdrawal option of daily, weekly or fortnightly frequency, as defined under respective Investment Strategy Information Document, shall be available in physical (non-demat) mode only. Also, various Special Products/Facilities such as Systematic Withdrawal Plan, Systematic Transfer Plan, Switching etc. offered by AMC/Apex SIF shall be available for unitholders in case the units are held/opted to be held in physical (non-demat) mode.

Investors intending to hold units in electronic (demat) form will be required to have beneficiary account with a Depository Participant (DP) (registered with NSDL / CDSL) and will be required to indicate, in the application form, the DP's name, DP ID Number and the Beneficiary account number of the applicant held with the DP at the time of subscribing to the units. Applicants must ensure that the sequence of the names as mentioned in the application form matches with that of the Beneficiary account held with the DP. Names, PAN details, KYC details etc. mentioned in the Application Form will be verified against the Depository records. **If the details mentioned in the application form are found to be incomplete / incorrect or not matching with the depository records, the application shall be treated as application for physical (non-demat) mode and accordingly units will be allotted in physical (non-demat) mode, subject to it being complete in all other aspects.** Unitholders who have opted to hold and thereby allotted units in electronic (demat) form will receive payment of redemption / Income Distribution cum capital withdrawal option proceeds into bank account linked to their Demat account.

Units held in electronic (demat) form will be transferable subject to the provisions laid under the respective investment strategy(s)/Plan(s) and in accordance with provisions of Depositories Act, 1996 and the Securities and Exchange Board of India (Depositories and Participants) Regulations, 1996 as may be amended from time to time.

In case, the Unitholder desires to hold the Units in a Dematerialized /Rematerialized form at a later date, the request for conversion of units held in physical (non-demat) mode into electronic (demat) form or vice-versa should be submitted alongwith a Demat/Remat Request Form to their Depository Participant(s). Investors should ensure that the combination of names in the account statement is the same as that in the demat account.

**Transfer of Units**

**Units are freely transferable, the Asset Management Company shall on production of instrument of transfer together with the relevant documents, register the transfer within thirty days from the date of such production. Further, units held in demat form are transferable in accordance with the provisions of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, as amended from time to time. Transfer of units will be subject to payment of applicable stamp duty by the Unitholder(s).**

**4. KNOW YOUR CUSTOMER (KYC)**

According to guidelines issued by SEBI under 'The Prevention of Money Laundering Act, 2002', Mutual Funds are required to follow enhanced know your customer (KYC) norms. Investors can visit branches of ABSLAMC or may visit www.adityabirlacapital.com, www.amfiindia.com and www.cdslindia.com to know detailed procedure for KYC compliance.

**Effective January 01, 2011 it is mandatory for all category of investors to be KYC compliant for all investment transactions made on or after January 01, 2011, irrespective of amount of investment.**

To further clarify, the above category of investors shall include:

i. their constituted Power of Attorney (PoA) holder, in case of investments through a PoA

ii. each of the applicants, in case of investments in joint names; and

iii. Guardian in case of investments on behalf of minor.

Applications without KYC Acknowledgement letter for the specified category of investors are liable to be rejected.

Provided further, where it is not possible to verify the KYC compliance status of the investor at the time of allotment of units, the ABSLAMC shall verify the KYC compliance status of the investor within a reasonable time after the allotment of units. In the event of non compliance of KYC requirements, the ABSLAMC reserves the right to freeze the folio of the investor(s) for any kind of transactions or affect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load. Investors should note that on completion of KYC Compliance all details of the investor in the Mutual Fund records will be replaced by the details as given in KYC Application Form by the investor. Any change in these details like change of Name / Address / Status /Signature, etc. should be given by Investor directly in the prescribed manner.

Pursuant to para 17.2.2.iv of the SEBI Master Circular for MF dated March 20, 2026, SEBI (KYC Registration Agency) Regulations, 2011 and SEBI Circular No. MIRSD/SE/Cir-21/2011 dated October 05, 2011, regarding uniformity in the Know Your Customer (KYC) process in the securities market and development of a mechanism for centralization of the KYC records to avoid duplication of KYC Process across the intermediaries in the securities market, the following changes are being made to KYC process:

1. SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries viz. Mutual Funds, Portfolio Managers, Depository Participants, Stock Brokers, Venture Capital Funds, Collective Investment strategy, etc. New Investors are therefore requested to use the common KYC Application Form and carry out the KYC process including In-Person Verification (IPV) with any SEBI registered intermediaries including mutual funds. The KYC Application Forms are also available on our website https://apexsif.adityabirlacapital.com/
2. The Mutual Fund shall perform the initial KYC of its new investors and may undertake enhanced KYC measures commensurate with the risk profile of its investors. The Mutual Fund shall upload the details of the investors on the system of the KYC Registration Agency (KRA). Registrar & Transfer Agent (RTA) of the Mutual Fund may also undertake the KYC of the investors on behalf of the Mutual Fund. KRA shall send a letter to the investor within 10 working days of the receipt of the initial/updated KYC documents from the Mutual Fund, confirming the details thereof.
3. Once the investor has done KYC with a SEBI registered intermediary, the investor need not undergo the same process again with another intermediary including mutual funds. However, the Mutual Fund reserves the right to carry out fresh KYC of the investor.
4. It is mandatory for intermediaries including mutual funds to carry out In-Person Verification (IPV) of its new investors w.e.f January 01, 2012. The IPV carried out by any SEBI registered intermediary can be relied upon by the Mutual Fund. ABSLAMC and NISM/AMFI certified distributors who are KYD compliant are authorized to undertake the IPV for Mutual Fund investors. Further, in case of any applications received directly (i.e. without being routed through the distributors) from the investors, the Mutual Fund may rely upon the IPV (on the KYC Application Form) performed by the scheduled commercial banks.

   Further, as per SEBI circular dated April 24, 2020, earlier circular on IPV stands modified as under:

   - IPV/ VIPV would not be required when the KYC of the investor is completed using the Aadhaar authentication / verification of UIDAI.
   - IPV / VIPV will not be required by the RI when the KYC form has been submitted online, documents have been provided through digilocker or any other source which could be verified online.
5. As per SEBI Circular dated April 24, 2020, the eSign mechanism of Aadhaar will be accepted in lieu of wet signature on the documents provided by the investor and the cropped signature affixed on the online KYC form under eSign will be accepted as valid signature.
6. Existing KYC compliant investors of the Mutual Fund can continue to invest as per the current practice. However, existing investors are also urged to comply with the new KYC requirements including IPV as mandated by SEBI.

For further details with respect to KYC process, please read Statement of Additional Information.

5. MODE OF PAYMENT

i) Resident investors may make payment by cheque payable locally in the city where the application form is submitted at the local Aditya Birla Sun Life AMC Limited (ABSLAMC) Offices / Authorised Collection Centres.

ii) The cheque should be drawn on any bank which is situated at and is a member/sub member of the bankers clearing house or the cheque should be drawn on Bank branch which is participating in 'Speed Clearing' facility made available by Reserve Bank of India (RBI) [i.e. if the presenting bank branch and location is appearing in list of 'Speed Clearing' locations as prescribed by RBI from time to time for Core Banking Solution (CBS) branches]. Investors are requested to note that only cheques of value of upto ₹ 1 lacs shall be accepted under this 'Speed Clearing' facility. Further, the list of Speed Clearing-enabled bank branches are hosted on the website of the RBI under the link http://www.rbi.org.in/Scripts/bs_viewcontent.aspx?Id=2016.

iii) Payment through Stock invest, outstation cheques and third party payments will not be accepted.

iv) For all mode of payments, details of source account, source bank name and source branch name should be mentioned

**v) Restriction on acceptance of Third Party Payment:**

a) Pursuant to the AMFI Best Practice Guidelines circular on 'Risk mitigation process against Third-Party Cheques in mutual fund subscriptions' read with compliance with 'Know your Customer (KYC)' norms under Prevention of Money Laundering Act, 2002 (PMLA), **Aditya Birla Sun Life AMC Limited (ABSLAMC)** shall not accept applications for subscriptions of units accompanied with Third Party Payments, except in the cases as enumerated below in para (c).

b) **"Third Party Payment"** means payment through an instrument issued from a bank account other than that of the beneficiary investor. In case of payments from a joint bank account, the first named investor/holder of the mutual fund folio has to be one of the joint holders of the bank account from which payment is made.

c) ABSLAMC shall not accept subscriptions accompanied with Third Party Payments except in the following exceptional situations subject to submission of requisite documentation/ declarations enumerated in para (d) below:

   i. Payment by Employer on behalf of employee under Systematic Investment Plans (SIP) through Payroll deductions.

   ii. Custodian on behalf of an FPI or a client.

d) In case of 'exceptional situations' mentioned above, investors are required to submit following documents/declarations alongwith the application form without which such applications will be rejected/ not processed/refunded:

   i. Mandatory KYC for all Investors (guardian in case of minor). In order for an application to be considered as valid, investors and the person making the payment should attach their valid KYC Acknowledgement Letter to the application form.

   ii. A separate, complete and valid 'Third Party Payment Declaration Form', inter alia, containing the details of the bank account from which the payment is made and the relationship with the investor(s). The declaration has to be given by the person making the payment i.e. Third Party. Please contact the nearest Investor Service Centre (ISC) of ABSLAMC or visit our website https://apexsif.adityabirlacapital.com/ for the said Declaration Form.

   ABSLAMC/Apex SIF shall verify the source of funds to ensure that funds have come from the drawer's account only.

e) Investors are requested to note that, in case of:

   **i. Payment by Cheque:** An investor at the time of his/her purchase must provide the details of his pay-in bank account (i.e. account from which a subscription payment is made) and his pay-out bank account (i.e. account into which redemption/Income Distribution cum capital withdrawal option proceeds are to be paid).

   If the name/bank account number is not pre-printed on the cheque and signature on the cheque does not match with signature on the application, then the first named applicant/investor should submit any one of the following documents:

   a. a copy# of the bank passbook or a statement of bank account having the name and address of the account holder and account number;

   b. a letter* (in original) from the bank on its letterhead certifying that the investor maintains an account with the bank, along with information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available).

   # Investors should also bring the original documents along with the documents mentioned in (a) above to the ISCs/Official Points of Acceptance of Apex SIF. The copy of such documents will be verified with the original documents to the satisfaction of the ABSLAMC/Apex SIF. The original documents will be returned across the counter to the investor after due verification.

   * In respect of (b) above, it should be certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number.

   Investors should note that where the bank account numbers have changed on account of the implementation of core banking system at their banks, any related communication from the bank towards a change in bank account number should accompany the application form for subscription of units.

   **ii. Payment by RTGS, NEFT, ECS, Bank transfer, etc:** A copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer Instruction copy should be a registered bank account or the first named unitholder should be one of the account holders to the bank account.

**6. NRI INVESTORS**

**Repatriation basis:**

Payments by NRIs/FPIs may be made by way of Indian rupee drafts purchased abroad or out of funds held in NRE/FCNR account or by way of cheques drawn on non-resident external accounts payable at par and payable at the cities where the Investor Service Centres are located. In case of Indian rupee drafts purchased and subscriptions through NRIs / FCNR account, an account debit certificate from the bank issuing the draft confirming the debit should also be enclosed.

**Non Repatriation basis:**

NRIs investing on a non repatriable basis may do so by issuing cheques drawn on Non-Resident of India (NRO) account payable at the cities where the Investor Service Centres are located.

**7. NOMINATION**

1. i) New Investors : With reference to SEBI circular, SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024, New investors subscribing to mutual fund units on or after 01-Aug-2022 shall have to mandatorily provide nomination or Opt-out of Nomination by proving a signed consent failing which the transaction shall stand rejected.

   ii) For Existing Unit Holders as of 31 July 2022 : As per SEBI circular SEBI/HO/IMD/IMD-II DOF3/P/CIR/2022/82 folios where there is no nominee registered would be locked for debits effective 31st March 2023 if investor does not nominate or Opts-out from nomination by providing a signed consent.
2. Nomination made by a unit holder shall be applicable for units held in all the investment strategy under the respective folio / account.
3. Who can Nominate:

   i) The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.

   ii) A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.

   Nomination is not allowed for :

   i) Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder.

   Nomination is not allowed in a folio of a Minor unitholder. Also, Guardian of Minor unitholder cannot nominate.
4. Who can be a Nominee :

   Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust. A minor may be nominated. In that event, the date of birth proof of Minor, Name and address of the Guardian of the minor nominee needs to be provided.
5. Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of ten nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the total percentage of allocation amongst multiple nominees does not add up to 100%, the nomination request shall be treated as invalid and rejected. If the percentage of allocation/ share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees.
6. Every new nomination for a folio/account shall overwrite the existing nomination, if any.
7. Nomination shall stand rescinded upon the transfer of units.
8. Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). Upon demise of one of the nominees prior to the demise of the investor and if no change is made in the nomination, then the assets shall be distributed to the surviving nominees on pro rata basis upon demise of the investor.

9. Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).

10. The nomination will be registered only when this form is valid and complete in all respects.

11. In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.

12. In absence of nomination, the regulated entity shall transmit the assets in the account / folio to either the legal heir(s) or legal representative(s) of the holders as per the rules of intestate succession or as per the Will of the latter, as the case may be, after following the prescribed procedure

13. Rights, Entitlement and Obligation of the investor and nominee:

- If your are opening a new demat account/folios, you have to provide nomination. Otherwise, you have to follow procedure as per 3.10 of this circular.
- You can make nomination or change nominee any number of times without any restriction.
- You are entitled to receive acknowledgement from the AMC / DP for each instance of providing or changing nomination.
- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account / folio.
- In case all your nominees do not claim the assets from the AMC / DP, then the residual unclaimed asset shall continue to be with the AMC in case of APEX SIF units and with the concerned Depository in case of Demat account.
- You have the option to designate any one of your nominees to operate your account / folio, if case of your physical in capacitation. This mandate can be changed any time you choose.
- The signatories for this nomination form in joint folios / account, shall be the same as that of your joint APEX SIF folio / demat account. i.e.
  - o 'Either or Survivor' Folios / Accounts - any one of the holder can sign
  - o 'Jointly' Folios / Accounts - both holders have to sign

14. Transmission aspects

- AMCs / DPs shall transmit the folio / account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s). The nominee is not required to provide affidavits, indemnitites, undertakings, attestations or notarization.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP.
- In case of multiple nominees the assets shall be distributed pro-rata to the surviving nominees, as illustrated below.

| **% share as specified by investor at the time of nomination** | | **% share to be appointed to surviving nominees upon demise of investor and nominee 'A'** | | | |
|---|---|---|---|---|---|
| **Nominee** | **% Share** | **Nominee** | **% initial share** | **% of A's share to be appointed** | **Total % share** |
| A | 60% | A | 0 | 0 | 0 |
| B | 30% | B | 30% | 45% | 75% |
| C | 10% | C | 10% | 15% | 25% |
| **Total** | **100%** | - | **40%** | **60%** | **100%** |

**8. ELECTRONIC PAYOUT OF REDEMPTION/INCOME DISTRIBUTION CUM CAPITAL WITHDRAWAL OPTION**

ABSLAMC will endeavor to credit the redemptions/Payout of Income Distribution cum capital withdrawal option directly to the designated Bank A/c of the unitholders of Apex SIF investment strategy through any of the available electronic mode (i.e. RTGS/ NEFT/ Direct Credit/ ECS). ABSLAMC reserves the right to use any of the above mode of payment as deemed appropriate for all folios where the required information is available. ABSLAMC, however, reserves the right to issue a cheque inspite of an investor opting for Electronic Payout.

**9. DIRECT APPLICATIONS AND EUIN**

a. **Investment in Direct Plan:** Investors applying under Direct Plan, are advised to write the word 'DIRECT' in the column 'ARN No' or 'Broker Code' in their applications for purchases/additional purchases/switches in all such cases where applications are not routed through any distributor/ agent/ broker. In cases where unit holder uses a pre-printed transaction slip/application form where details in the 'ARN No' or 'Broker Code' column is already printed, unit holder should cancel the ARN No/ Broker Code, write 'DIRECT' in the said column. **Also, in case ARN No/ Broker Code is mentioned in the application form, but "Direct Plan" is indicated, the ARN No/ Broker Code will be ignored and the application will be processed under Direct Plan, subject to it being complete in all other aspects. Further, where application is received for Regular Plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan.**

b. Employee Unique Identification Number (EUIN) is a unique number allotted to Sales personnel i.e. employee/ relationship manager/ sales person of the distributor interacting with the investor for the sale of investment strategy under SIF. Such sales personnel associated with Distributor, should also be holding a valid NISM certificate certifying his/her clearance of National Institute of Securities Markets ('NISM') Series-XIII: Common Derivatives Certification Examination. **Thus, in case of applications routed through distributors, in addition to the AMFI Registration Number (ARN) of the distributor, Investors are requested to also provide the EUIN of the individual ARN holder or of employee/relationship manager/sale person of the Distributor interacting with the investor.** Providing appropriate EUIN in the application/transaction forms would assist in tackling the problem of mis-selling even if the Sales personnel on whose advice the transaction was executed by investor leaves the employment of the distributor or his/her sub broker. If the distributor has not given any advice pertaining to the investment (i.e. transaction is 'execution only'), then the EUIN box may be left blank, but it would be mandatory for the investor to provide confirmation as mentioned in the application form.

**10. E-MAIL COMMUNICATION**

Account Statements, Quarterly Newsletter, Annual Reports and Transaction Confirmation can be sent to Unit holders by post / email. Should the Unit holder experience any difficulty in accessing in the electronically delivered documents, the unit holder shall promptly inform the same to the ABSLAMC. It is deemed that the Unit holder is aware of all security risks including possible third party interception of the documents and contents of the documents becoming known to third parties. For ease of communication, first applicant's own email ID and mobile number should be provided.

**11. TERMS AND CONDITIONS FOR ON-LINE ACCOUNT ACCESS**

i) User of Customer Identification PIN (CIP) facility in the parlance of Aditya Birla Sun Life AMC Limited (ABSLAMC) means a Unitholder being serviced by ABSLAMC.

ii) A CIP will enable the user to view the Account Statement on the Apex SIF website https://apexsif.adityabirlacapital.com/ and other services mentioned herein after.

iii) The user shall have no objection to ABSLAMC verifying the identity before allotting the CIP.

iv) The CIP allotted to the user is confidential in nature and the user confirms that he/she will keep the CIP confidential and will not divulge it to anybody else. The user also agrees to take all possible care to prevent discovery of the CIP by any person. The responsibility for misuse of the CIP of the User is solely of the user and ABSLAMC shall not be responsible for the use/misuse of the CIP in any manner whatsoever.

v) The User shall inform ABSLAMC immediately in case the CIP becomes known to any other person. ABSLAMC may in its absolute discretion, issue to the user a new CIP on similar terms and conditions or under such terms and conditions as ABSLAMC may deem fit.

vi) ABSLAMC will take reasonable efforts to keep its website updated so as to provide most current information to the user. The user acknowledges that ABSLAMC expressly disclaims liability for errors or omissions in the information on the website. The user also recognises that because of communication and other issues, it is possible that the site may not be operating/working on many occasions. The user also agrees that the look and feel of the Web screen and outputs there from may differ based on the nature of the software used by the user to browse the site. The user agrees not only to the terms and conditions herein contained but also the disclaimer and other matters, as may be displayed/posted on the site.

vii) ABSLAMC may, in the interest of the user request a fax confirmation of the Instructions and any additional information that ABSLAMC may require. ABSLAMC shall not be bound to act on instructions/ requests received until the said fax confirmation and additional information is received from the user.

viii) The user shall be fully liable to ABSLAMC for the transaction entered into using the CIP facility, whether with or without the knowledge of the user and consequences thereof.

ix) The user shall not use the online services on a PC or other Internet access device which belongs to any other person or which is provided to the user by his/her employer without such person's or, as the case may be, his/her employer's previous written permission. ABSLAMC will not be responsible for any harm or loss caused to any person as a result of the user not complying with this condition. The user indemnifies and agrees to keep ABSLAMC at all times saved, defended, harmless and indemnified from and against any and all loss, costs, outgoings, expenses, claims, damages or consequences whatsoever that ABSLAMC may suffer as a result of the user using any PC or Internet device without the permission of the owner thereof and he/she shall be bound to compensate. ABSLAMC shall not be liable for the non-suitability thereof or if any other data or information contained in such PC or Internet access device through which the online services are accessed by the user is damaged or lost in any manner whatsoever.

x) The user is aware of all security risks including possible third party interception of his/her account and the content of his/her account becoming known to third parties. The user accepts that the use of online services is not a secure method of viewing, accepting and transmitting information and that it involves security hazards and the risk of any loss of information or obtaining of information by any third party will be to his/her account and ABSLAMC shall, in no way, be held responsible for the same and this shall not be considered as a breach of its or its constituent company – user confidentiality.

xi) The user agrees that the use and storage of any information including without limitation, the CIP, account information, transaction activity, account balances and any other information available on the user personal computer is at his/her own risk and is his/her sole responsibility.

xii) The user shall not interfere with, alter, amend, tamper with or misuse in any manner whatsoever the Online Services and in the event of any damage due to improper or fraudulent use by the user, he / shall be liable in damages to ABSLAMC.

xiii) In case of any discrepancy in the details of any transaction carried out in respect of the user's Account, the user shall be obliged to intimate ABSLAMC thereof in writing within 10 (ten) days of receipt of the Statement of Account / policy document in respect of the user, failing which the statement / policy will be deemed to be correct and accepted by the user.

xiv) ABSLAMC is authorized to provide any information or details relating to the user or his/her account to any third person so far as is necessary to give effect to any instructions or to comply with any order of Court or of any competent/ statutory authority or as is required under applicable law.

xv) The user hereby acknowledges that he/she is utilizing this facility at his/her own risk. These risks would, among others, include the following:

a) Misuse of Password: The user acknowledges that if any third person obtains access to his/her password such third person would be able to provide transaction request to ABSLAMC. The user shall ensure that the terms and conditions applicable to the use of the password as contained herein are complied with at all times.

b) Internet Frauds: The Internet per se is susceptible to a number of frauds, misuse, hacking and other actions, which could affect Instructions to ABSLAMC. Whilst ABSLAMC shall aim to provide security to prevent the same, there cannot be any guarantee from such Internet frauds, hacking and other actions, which could affect Instructions to ABSLAMC. The user shall separately evaluate all risks arising out of the same.

c) The technology for enabling the services offered by ABSLAMC could be affected by virus or other malicious, destructive or corrupting code, programme or macro. This could result in delays in the processing of Instructions or failure in the processing of instructions and other such failures and inabilities. The user understands that ABSLAMC disclaims all and any liability, whether direct or indirect, whether arising out of loss of profit or otherwise arising out of any failure or inability by ABSLAMC to honour any user instruction for whatsoever reason. The user understands and accepts that ABSLAMC shall not be responsible for any of the aforesaid risks. The user also accepts that ABSLAMC shall disclaim all liability in respect of the said risks.

xvi) The user acknowledges having read and understood the Terms and Conditions relating to opening of an account and various services. The user accepts and agrees to be bound by the said Terms and Conditions including those excluding ABSLAMC's liability.

xvii) The user understands that ABSLAMC may, at its absolute discretion, alter, suspend or terminate any of the services completely or partially without any notice to the Unitholder and without assigning any reasons thereof.

xviii) The user agrees that at present online services are offered as a privilege services to the users without any charge. However, ABSLAMC may levy any service charges as applicable from time to time in consideration for the services provided herein. However users not consenting to the charge then, may opt out of the CIP facility.

xix) ABSLAMC reserves the exclusive right to amend the terms and conditions for issue and use of CIP to the users witho any prior approval of the user concerned, and thereafter such amended terms and conditions will apply to the user.

xx) In consideration of ABSLAMC providing the user with the online services, user agrees to indemnify and keep safe, harmless and indemnified ABSLAMC, its constituent companies, their officers, employees, successors and assigns from and against all actions, claims, demands, proceedings, loss, damages, costs, charges and expenses whatsoever which ABSLAMC or its constituent companies may at any time incur, sustain, suffer or be put to as a consequence of or arising out of the user' use of the said online services.

xxi) The user hereby indemnifies and agrees to keep ABSLAMC saved, defended, harmless and indemnified for all liabilities, losses, damages and expenses which ABSLAMC may sustain or incur either directly or indirectly as a result of: a) Illegal, unauthorized, fraudulent usage or misuse of the user's CIP to access ABSLAMC's Website; all requests carrying the user's CIP as evidenced by electronic records available at ABSLAMC will be the user's sole responsibility b) Non-compliance of the terms and conditions relating to online services on ABSLAMC's website.

xxii) The Courts in Mumbai alone shall have jurisdiction over all disputes arising out of or in respect of this arrangement.

**12. RTGS/ NEFT**

Funds Transfer shall be effected only if the recipient/destination Bank/Branch is participating in RTGS/ NEFT.

It is the responsibility of the Investor to ensure the correctness of the message especially the IFSC code of the recipient / destination branch & account number. The collecting bank as well as Apex SIF will get valid discharge if the amount is credited to the account number mentioned in the Application even if the name of the Investor account holder differs. Apex SIF shall not assume any liability or responsibility arising out of or made liable for any incorrect request or message.

If the date of payment happens to be a holiday at the centre where the recipient branch is situated, the credit will be passed on to the Investor on next working day.

Apex SIF shall not be liable for delay in payments to the Investor if:

a. Incorrect and insufficient details are provided.

b. If there is dislocation of work due to circumstances beyond the control of Remitting/ Destination Banks including but not limited to circumstances like non-functioning of computer system, disruption of work due to natural calamities, strike, riot etc or Netware or internet problem or other causes beyond the control of the Branch/bank resulting in disruption of communication, such cases will be settled on the next working day when RTGS/NEFT is functioning properly.

The Investor hereby agrees and undertakes that he is aware of all the RTGS/NEFT rules set by RBI & to abide by all the rules, terms, conditions and administrative guidelines issued or which may be issued by the RBI or any other regulatory authorities applicable to the transactions relating to RTGS/ NEFT whether directly or/and indirectly.

**13. DETAILS UNDER FATCA & CRS**

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

**14. Distributor Eligibility:**

Only distributors with valid ARN/EUIN, AMFI SIF Registration, and the required NISM certifications may distribute SIF units. Non-compliant transactions may be processed under Direct Plan or rejected based on the mode of submission.

**15. Minimum threshold requirement and consequences of non maintenance for APEX SIF.**

1. The AMC will monitor compliance with the Minimum Investment Threshold on a daily basis and ensure that there are no active breaches. The AMC will ensure that the investor's total investment value does not fall below the Minimum Investment Threshold due to redemption transactions initiated by the investor.
2. Passive breaches (occurrence of instances not arising out of omission and commission by AMC), such as those caused by decline in Net Asset Value (NAV), shall not be treated as a violation of the Minimum Investment Threshold. However, if the total investment value falls below the threshold due to a passive breach, the investor shall only be permitted to redeem the entire remaining investment amount from the SIF.
3. In case of any active breach of the Minimum Investment Threshold by an investor, including through transactions on stock exchanges or off-market transfers:
   i. All units of such investor held across investment strategies of the SIF shall be frozen for debit, and
   ii. A notice of 30 calendar days shall be given to such investor to rebalance the investments in order to comply with the Minimum Investment Threshold.
4. Pursuant to the notice to the investor as mentioned above:
   - In case investor rebalances his/her investments in SIF within the notice period of 30 calendar days, the units of SIF of such investor shall be unfreezed, and no further action shall be taken with regard to compliance with Minimum Investment Threshold.
5. In case the investor fails to rebalance the investments within the aforesaid 30 calendar day period, the frozen units shall be automatically redeemed by the AMC, at the applicable Net Asset Value of the next immediate business day after the 30th calendar day of the notice period.
6. In terms of Regulation 49(1) of SEBI (Mutual funds) Regulations, 2026, Apex SIF shall not accept from an investor an investment amount less than ten lakh rupees across all investment strategies offered by Apex SIF at a Permanent Account Number ('PAN') level & Investor holding pattern (Minimum Investment threshold)

**APPLICATION NOT COMPLETE IN ANY RESPECT ARE LIABLE TO BE REJECTED.**

APEXSIF
Powered by Aditya Birla Sun Life Mutual Fund

## APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA) FORM - Apex Equity Long-Short Fund

(An open ended equity investment strategy investing in listed equity and equity related instruments including limited short exposure in equity through derivative instruments)

Offer of units of Rs. 10 each during the New Fund Offer and Continuous offer for Units at NAV based prices

**New Fund Offer Opens:** Monday, August 10, 2026 | **New Fund Offer Closes:** Monday, August 24, 2026

**Investment strategy re-opens on:** Within 5 Business days from the date of allotment

| This Product is suitable for investors who are seeking*: | Risk-band* | Benchmark Risk-band (NIFTY 500 TRI) |
|---|---|---|
| • Capital appreciation over long term<br>• An open ended equity investment strategy investing in listed equity and equity related instruments including limited short exposure in equity through derivative instruments. | LOWER RISK 1 2 3 4 5 HIGHER RISK<br>Risk Level 5 | LOWER RISK 1 2 3 4 5 HIGHER RISK<br>Risk Level 3 |

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

The above product labelling assigned during the New Fund Offer (NFO) is based on internal assessment of the characteristics of the investment strategy or model portfolio and the same may vary post NFO when the actual investments are made.

**(PLEASE READ THE INSTRUCTIONS BEFORE FILLING UP THE FORM. All sections to be completed in ENGLISH in BLACK / BLUE COLOURED INK and in BLOCK LETTERS.)** **Date** D D M M Y Y Y Y

**ASBA Application No.** **NFO Application No. [mandatory & only for Non-Demat holders]**

| BROKER/AGENT INFORMATION | | FOR OFFICE USE ONLY | | | |
|---|---|---|---|---|---|
| Name and AMFI Regn No. | Sub Broker Name & Code | SCSB | SCSB IFSC Code | Syndicate Member Code | SL No. |
| | | [Name & Code] | [11 digit code] | [Name and Code] | |

**1. NAME OF FIRST APPLICANT** (Name should be as available in Demat Account in case of Demat Account holders)

Mr. Ms. M/s. **Gender** ☐ Male ☐ Female ☐ Other

**2. EXISTING FOLIO NO.** (If you have an existing folio number, please mention the number here)

**Folio No.**

**3. DEPOSITORY ACCOUNT DETAILS**

| | |
|---|---|
| **Depository Name [Please tick (✓)]** | ☐ National Securities Depository Ltd ☐ Central Depository Services [India] Limited |
| **Depository Participant Name** | |
| **DP-ID** | |
| **Beneficiary Account Number** | |

**4. PAN OF 1ST APPLICANT** (Permanent Account Number) **PAN**

**5. INVESTMENT DETAILS [Please tick (✓)]**

| | | |
|---|---|---|
| **Apex Equity Long-Short Fund** | **Plan** | ☐ Regular ☐ Direct |
| | **Options / Sub Options** | ☐ Growth Option |

**Default Plan: Refer KIM for Details. Default Options/ Sub Options: Growth Option**
**^The amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.**

---

**Acknowledgement Slip** (To be filled in by the Investor) **ASBA APPLICATION FORM** **Apex Equity Long-Short Fund**

Application No.

Collection Centre / ABSLAMC Stamp & Signature

Application Number [mandatory for non-demat account holders]: ______________________________

ASBA Application Number: ______________________ Date : _____/_____/__________

| | | |
|---|---|---|
| **Apex Equity Long-Short Fund** | **Plan** | ☐ Regular ☐ Direct |
| | **Options / Sub Options** | ☐ Growth Option |

**Default Plan: Refer KIM for Details. Default Options/ Sub Options: Growth Option**
**^The amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.**

Received from Mr./Ms./Mrs./M/s. ______________________________________________

Address ______________________________________________

SCSB Account details: A/c No. ______________________ Bank Name ______________________

Branch Name ______________________________________________

Total Amount to be Blocked: ₹ In figures ______________________ Rupees In words ______________________

Date & time of receipt ______________________________________________

## 6. DETAILS OF BANK ACCOUNT FOR BLOCKING OF FUNDS

| | |
|---|---|
| Bank Account Number | |
| Bank Name | |
| Branch Name where account is held | |
| Total Amount to be blocked (₹ In figures) | |
| Rupees in words | |

## 7. UNDERTAKING BY ASBA INVESTOR

1)I/We hereby undertake that I/We am/are an ASBA investor as per the applicable provisions of the SEBI (issue of Capital and Disclosure Requirements), Regulations 2009 ('SEBI Regulations') as amended from time to time. 2) In accordance with ASBA process provided in the SEBI Regulations and as disclosed in this application, I/We authorize (a) the SCSB to do all necessary acts including blocking of application money towards the Subscription of Units of the investment strategy, to the extent mentioned above in the "SCSB / ASBA Account details" or unblocking of funds in the bank account maintained with the SCSB specified in this application form, transfer of funds to the Bank account of the investment strategy/ Apex SIF on receipt of instructions from the Registrar and Transfer Agent after the allotment of the Units entitling me/us to receive Units on such transfer of funds, etc. (b) Registrar and Transfer Agent to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the application, upon allotment of Units and to transfer the requisite money to the investment strategy's account / Bank account of Apex SIF. 3) In case the amount available in the bank account specified in the application is insufficient for blocking the amount equivalent to the application money towards the Subscription of Units, the SCSB shall reject the application 4) If the DP ID, Beneficiary Account No. or PAN furnished by me/us in the application is incorrect or incomplete or not matching with the depository records, the application shall be rejected and the Apex SIF or Aditya Birla Sun Life AMC Limited or Aditya Birla Sun Life Trustee Private Limited or SCSBs shall not be liable for losses, if any. All future communication in connection with NFO should be addressed to the SCSB/RTA/AMC quoting the full name of the Sole/First Applicant, NFO Application Number, ASBA Application Number, Despository Account details [if it has been provided], Amount applied for and the account number from where NFO amount was blocked.

| | | |
|---|---|---|
| | | |

Signature of Bank Account Holders

## INSTRUCTIONS FOR FILLING ASBA APPLICATION FORM

1. An ASBA investor shall submit a duly filled up **ASBA Application form, physically or electronically**, to the SCSB with whom the bank account to be blocked, is maintained.
   - **In case of ASBA application in physical mode,** the investor shall submit the ASBA Form at the Bank branch of SCSB, which is designated for the purpose and the investor must be holding a bank account with such SCSB.
   - **In case of ASBA application in electronic form,** the investor shall submit the ASBA Form either through the internet banking facility available with the SCSB, or such other electronically enabled mechanism for subscribing to units of Mutual Fund investment strategy authorising SCSB to block the subscription money in a bank account.
2. Investors shall correctly mention the Bank Account number in the ASBA Application Form and ensure that funds equal to the subscription amount are available in the bank account maintained with the SCSB before submitting the same to the designated branch.
3. Upon submission of an ASBA Form with the SCSB, whether in physical or electronic mode, investor shall be deemed to have agreed to block the entire subscription amount specified and authorized the Designated Branch to block such amount in the Bank Account.
4. On the basis of an authorisation given by the account holder in the ASBA application, the SCSB shall block the subscription money in the Bank Account specified in the ASBA application. The subscription money shall remain blocked in the Bank Account till allotment of units under the investment strategy or till rejection of the application, as the case may be.
5. If the Bank Account specified in the ASBA application does not have sufficient credit balance to meet the subscription money, the ASBA application shall be rejected by the SCSB.
6. The ASBA Form should not be accompanied by cheque or any mode of payment other than authorisation to block subscription amount in the Bank Account.
7. All grievances relating to the ASBA facility may be addressed to the AMC / RTA to the Issue, with a copy to the SCSB, giving full details such as name, address of the applicant, subscription amount blocked on application, bank account number and the Designated Branch or the collection centre of the SCSB where the ASBA Form was submitted by the Investor.
8. ASBA facility extended to investors shall operate in accordance with the SEBI guidelines in force from time to time.

**Aditya Birla Sun Life AMC Limited**
Regn. No.: 109. Regd Office: One World Center, Tower 1, 17th Floor, Jupiter Mills,
Senapati Bapat Marg, Elphinstone Road, Mumbai 400013.
1800 270 7000 | care.apexsif@adityabirlacapital.com | https://apexsif.adityabirlacapital.com/ | CIN: L65991MH1994PLC080811

APEXSIF

Powered by Aditya Birla Sun Life Mutual Fund

# SIP FACILITY APPLICATION FORM - Apex Equity Long-Short Fund

**(An interval investment strategy investing in equity and debt securities, including limited short exposure in equity and debt through derivatives)**

Offer of units of ₹ 10/- each during the New Fund Offer and Continuous Offer for Units at NAV based prices.

**New Fund Offer Opens:** Monday, August 10, 2026 | **New Fund Offer Closes:** Monday, August 24, 2026
**Investment strategy re-opens on:** Within 5 Business days from the date of allotment

| This Product is suitable for investors who are seeking*: | Risk-band* | Benchmark Risk-band (NIFTY 500 TRI) |
|---|---|---|
| • Capital appreciation over long term<br>• An open ended equity investment strategy investing in listed equity and equity related instruments including limited short exposure in equity through derivative instruments. | LOWER RISK 1 2 3 4 5 HIGHER RISK<br>Risk Level 5 | LOWER RISK 1 2 3 4 5 HIGHER RISK<br>Risk Level 3 |

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

The above product labelling assigned during the New Fund Offer (NFO) is based on internal assessment of the characteristics of the investment strategy or model portfolio and the same may vary post NFO when the actual investments are made.

## (PLEASE READ THE INSTRUCTIONS BEFORE FILLING UP THE FORM.)

**Single investment cheque should be submitted, crossed "Account Payee only" and drawn favoring "Apex SIF". For investment in single investment strategy, cheque should be drawn favoring investment strategy name.**

SIP 08/21 V-5

| Distributor Name & ARN/ RIA No. | Sub Broker Name & ARN/ RIA No. | Sub Broker Code | Employee Unique ID. No. (EUIN) |
|---|---|---|---|
| | | | E |

EUIN is mandatory for "Advisory" transactions. Ref. Instruction No. C-3
I/we hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker

| | | |
|---|---|---|
| First Applicant / Authorised Signatory | Second Applicant | Third Applicant |

Existing Investor Folio No. | Application No. | Date D D M M Y Y Y Y

## 1. FIRST / SOLE APPLICANT INFORMATION (MANDATORY)

NAME OF FIRST / SOLE APPLICANT Mr. Ms. M/s. | Gender ☐ Male ☐ Female ☐ Other

## 2. INVESTMENT DETAILS (Refer Instruction A) (*MANDATORY)

| | | | |
|---|---|---|---|
| INVESTMENT STRATEGY 1 NAME | Apex Equity Long-Short Fund | | |
| PLAN | | OPTION | |
| SIP Frequency | ☐ Monthly **SIP Date** D D (any date between 1-31) SIP start date should be post 30 days from the date of allotment | | |
| Tenure | From: M M Y Y Y Y To: ☐ 5 years ☐ 10 years ☐ 15 years ☐ 30 years ☐ Others M M Y Y Y Y | | |
| SIP Installment Amount | | | |
| INVESTMENT STRATEGY 2 NAME | Apex Equity Long-Short Fund | | |
| PLAN | | OPTION | |
| SIP Frequency | ☐ Monthly **SIP Date** D D (any date between 1-31) SIP start date should be post 30 days from the date of allotment | | |
| Tenure | From: M M Y Y Y Y To: ☐ 5 years ☐ 10 years ☐ 15 years ☐ 30 years ☐ Others M M Y Y Y Y | | |
| SIP Installment Amount | | | |
| INVESTMENT STRATEGY 3 NAME | Apex Equity Long-Short Fund | | |
| PLAN | | OPTION | |
| SIP Frequency | ☐ Monthly **SIP Date** D D (any date between 1-31) SIP start date should be post 30 days from the date of allotment | | |
| Tenure | From: M M Y Y Y Y To: ☐ 5 years ☐ 10 years ☐ 15 years ☐ 30 years ☐ Others M M Y Y Y Y | | |
| SIP Installment Amount | | | |

## 2. INVESTMENT DETAILS (Refer Instruction A) (*MANDATORY)

| | | | |
|---|---|---|---|
| **INVESTMENT STRATEGY 4 NAME** | **Apex Equity Long-Short Fund** | | |
| **PLAN** | | **OPTION** | |
| **SIP Frequency** | ☐ Monthly **SIP Date** D D (any date between 1-31) SIP start date should be post 30 days from the date of allotment | | |
| **Tenure** | From: M M Y Y Y Y To: ☐ 5 years ☐ 10 years ☐ 15 years ☐ 30 years ☐ Others M M Y Y Y Y | | |
| **SIP Installment Amount** | | | |
| **INVESTMENT STRATEGY 5 NAME** | **Apex Equity Long-Short Fund** | | |
| **PLAN** | | **OPTION** | |
| **SIP Frequency** | ☐ Monthly **SIP Date** D D (any date between 1-31) SIP start date should be post 30 days from the date of allotment | | |
| **Tenure** | From: M M Y Y Y Y To: ☐ 5 years ☐ 10 years ☐ 15 years ☐ 30 years ☐ Others M M Y Y Y Y | | |
| **SIP Installment Amount** | | | |

## 3. DEMAT ACCOUNT DETAILS (OPTIONAL) (If Demat details are provided, units will be compulsorily given in Demat form only) (Please ensure that the sequence of names as mentioned in the application form matches with that of the A/c. held with the depository participant.) Refer Instruction No. A-21

NSDL: Depository Participant Name: ______________________________ DPID No.: I N Beneficiary A/c No.

CDSL: Depository Participant Name: _____________________________________ Beneficiary A/c No.

Enclosed: ☐ Client Master ☐ Transaction/ Statement Copy/ DIS Copy

## 4. PAYMENT DETAILS

| | | | | | |
|---|---|---|---|---|---|
| Cheque Date | | Cheque No. | | Amount | |

In case of Minor, payment should from the Minor account or from a joint account of Minor with the guardian only

| | |
|---|---|
| Drawn on Bank and Branch | |

☐ **Use existing One Time Mandate** (To be filled in case of more than one OTM registration) (In case of minor, mandate should be registered in the name of minor or in the name of joint account of Minor with the guardian only)

| | | | |
|---|---|---|---|
| **Bank Name** | | **A/c No.** | |

## 5. DECLARATION(S) & SIGNATURE(S)

I/We hereby authorise Apex SIF and their authorised service provider to debit the above bank account by NACH/ Auto Debit Clearing for collection of SIP payments. I/We understand that the information provided by me/us may be shared with third parties for facilitating transaction processing through NACH/ Auto Debit Clearing or for compliance with any legal or regulatory requirements. I/We hereby declare that the particulars given above are correct and complete and express my/our willingness to make payments referred above through participation in NACH/ Auto Debit. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We will not hold ABSLAMC/Apex SIF or their appointed service providers or representatives responsible. I/We will also inform, about any changes in my bank account immediately. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We have read and agreed to the terms and conditions mentioned overleaf. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing investment strategy of various from amongst which the investment strategy is being recommended to me/us.

"I / We acknowledge that the RIA has entered into an agreement with the AMC / Apex SIF for accepting transaction feeds under the code. I / We hereby indemnify, defend and hold harmless the AMC / Apex SIF against any regulatory action, damage or liability that they may suffer, incur or become subject to in connection therewith or arising from sharing, disclosing and transferring of the aforesaid information."

| Signature(s) | | | |
|---|---|---|---|
| | Name of First Unit Holder | Name of Second Unit Holder | Name of Third Unit Holder |
| | First Applicant | Second Applicant | Third Applicant |

(To be signed by All Applicants if mode of operation is Joint)

# A. GENERAL INSTRUCTIONS FOR SIP

**General Instructions**

1. New investors who wish to enroll for SIP should fill this form in addition to the Common Application Form. Please quote the application number of the Common Application Form on this SIP Form. Details of the SIP should be provided on this form. Both Forms should be submitted together.
2. Existing investors needs to fill up only this form and first purchase cheque with existing folio details. The AMC reserves the right to assign any of the existing Folio Number of the investor against multiple applications and / or subsequent purchases under this new application form lodged, with identical mode of holding and address and such other criterions and integrity checks as may be determined by the AMC from time to time.

   Note: Investors can also start a SIP without any initial Investment. New investors need to submit this application form along with Common Application Form, whereas existing investors can start SIP without initial investment by submitting this form along with existing folio details.
3. The name of the bank provided for OTM/ NACH/ Auto Debit should participate in local MICR clearing.
4. The investor hereby agrees to abide by the terms and conditions of OTM/ NACH/ Auto Debit facility of Reserve Bank of India (RBI).
5. SIP form should be submitted 30 days before the first Debit through NACH/ Auto Debit. In case the auto debit start date as mentioned in the form does not satisfy this condition, the first date shall be rolled over to begin from the immediately following month.
7. Investor should provide 9 digit MICR code and complete bank address for SIP auto debit transactions. MICR starting with 000 and end with 000 will not be acceptable.

**Default Details**

8. **Default Dates:**

   **Monthly SIP:** In case of any ambiguity in selection of investment dates, the SIP date will be 7 of each month.
9. **Default Frequency:**

   If investor fails to mention frequency the same shall be considered as 'Monthly' as default option.
10. In case the 'End Date' is not mentioned by the investor in the Form, the same would be considered as 40 years.
11. During NFO SIP can be registered only along with minimum subscription amount of ₹ 10 lakhs For ongoing basis, SIP can be registered by the existing investor who has 'Minimum Investment Threshold' of ₹ 10 lakhs.
12. **SIP Date (Any date from 1-31)**

    In case the chosen date falls on a non-business day, the SIP will be processed on the immediate next business day.

    In case the chosen date is not available in that month, the SIP will be processed on last business day of the month.
13. **Minimum Amount**

    **For Monthly SIP:**

    Apex Equity Long-Short Fund - ₹ 10,000/ each.

    Minimum 6 Instalments of ₹ 10,000/- each and above

14a. The first investment cheque amount shall be considered as the first installment

14b. The initial/ first SIP investment amount can be different from the subsequent SIP amount provided the initial/ first SIP investment amount also confirms to the minimum SIP amount criteria of the respective investment strategy.

15. Cheques should be drawn payable at locations of Aditya Birla Sun Life AMC Limited branches & authorised centres. Non MICR / outstation post dated cheques will not be accepted for SIP.
16. **Allotment of Units**

    Monthly - NAV will be as per Credit received date
17. **Discontinue / Cancellation of SIP**

    The investor has the right to discontinue SIP at any time he/she so desires by sending a written request 10 calendar days in advance of the immediate next due date to any of the offices of Apex SIF or its Authorized Collection Centres. On receipt of such request SIP will be terminated.
18. **"On behalf of Minor"** Accounts: Name of Guardian must be mentioned if investments are being made on behalf of a minor. Date of birth is mandatory in case of minor. The minor shall be the first and the sole holder in the account (folio). No joint holder will be allowed in an account (folio) where minor is the first or sole holder. Guardian in the account (folio) on behalf of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian and the same must be mentioned in the space provided in application form. Copy of document evidencing the date of birth of the minor and relationship of the guardian with the minor (whether natural or legal guardian) should mandatorily be provided while opening of the account (folio). Also, nomination shall not be allowed in a folio/account held on behalf of a minor.
19. In case 3 (three) consecutive instalments are not honoured/failed on account of reasons attributable to the investors like insufficient balance etc. Aditya Birla Sun Life AMC Limited shall discontinue SIP registrations.
20. **First Installment Details:**

    a. Single investment cheque should be submitted, crossed "Account Payee only" and drawn favoring "Apex SIF".

    b. Please ensure that the investment cheque issued by you complies with CTS 2010 requirement Stipulated by the Reserve Bank of India. The words "CTS 2010" should appear on the face of the cheque.

    Payments made by Cash/Money Order/Postal Order, Non- MICR cheques Outstation cheques are not accepted.

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**PAN BASED DEBIT MANDATE-ONE TIME MANDATE** [Applicable for Lumpsum Additional Purchases as well as SIP Registrations] Please attach a cancelled cheque/cheque copy.

(tick ✓) ☐ CREATE ☒ MODIFY ☐ CANCEL

UMRN: ______ Date: D D M M Y Y Y Y

Sponsor Bank Code: Office use only | Utility Code: Office use only

I/We hereby authorize: APEX SIF | to debit (tick✓) ☐ SB ☐ CA ☐ CC ☐ SB-NRE ☐ SB-NRO ☐ Other

Bank A/c No.: ______

With Bank: Bank Name & Branch | IFSC ______ | OR MICR ______

an amount of Rupees ______ ₹ ______

DEBIT TYPE ☐ ~~Fixed Amount~~ ☑ Maximum Amount | FREQUENCY ☐ ~~Daily~~ ☐ ~~Weekly~~ ☐ ~~Bi-Monthly~~ ☐ ~~Monthly~~ ☐ ~~Quarterly~~ ☐ ~~Half Yearly~~ ☐ ~~Annually~~ ☑ As & when presented

Reference 1: PAN No: ______ | Mobile ______

Reference 2: Folio No/ Appln No: ______ | Email: ______

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of bank.

PERIOD

From: D D M M Y Y Y Y

to: 3 0 | 0 4 | 2 0 6 6

**Maximum period of validity of this mandate is 40 years only**

Maximum period of validity of this mandate is 40 years only

1. Sign ............ 2. Sign ............ 3. Sign ............

**Name as in bank records (mandatory)** | **Name as in bank records (mandatory)** | **Name as in bank records (mandatory)**

**Declaration:** This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing Aditya Birla Sun Life Mutual Fund to debit my account based on the instructions as agreed and signed by me. I have understood that I am authorised to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to Aditya Birla Sun Life Mutual Fund or the bank where I have authorised the debit. **I/We understand and accept that in case transaction initiated against this mandate (within valid period of mandate) is/are rejected due to insufficient funds or such other reason as permitted under applicable law, action may be taken against me/us under applicable law (including Negotiable Instrument Act).**

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## Acknowledgement Slip (To be filled in by the Investor)

## Apex Equity Long-Short Fund

Application No. ______

Collection Centre / ABSLAMC Stamp & Signature

Received from Mr. / Ms. ____________________ Date : _____/_____/__________

**Aditya Birla Sun Life AMC Limited**

Regn. No.: 109. Regd Office: One World Center, Tower 1, 17th Floor, Jupiter Mills, Senapati Bapat Marg, Elphinstone Road, Mumbai 400013.

1800 270 7000 | care.apexsif@adityabirlacapital.com | https://apexsif.adityabirlacapital.com/ | CIN: L65991MH1994PLC080811

## B. COMMON INSTRUCTIONS FOR SIP

1. **DISCLAIMER**

   (i) Investors will not hold Apex SIF, its Registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of OTM/ NACH/ Auto Debit.

   (ii) Apex SIF, its Registrars and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.

   (iii) APEX SIF reserves the right to reject any application without assigning any reason thereof.

   (iv) Investors shall not hold the AMC/ Registrar/Service Providers and/or the Bank/s liable for any failure or delay in completion of its obligations where such failure or delay is caused, in whole or in part, by any Force Majeure event including acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, war, earthquake, or any other cause of peril which is beyond the AMC/ Registrar /Service Provider's and/or the Bank's/s' reasonable control. Further no separate intimation will be received from AMC / It's Registrar / Bank and/or Service Providers in case of non-execution of the instructions in case of such Force Majeure events.

2. It is compulsory for all investors to quote their Permanent Account Number (PAN) and submit copy of the PAN card issued by the Income Tax Department, irrespective of the amount of investment, while making an application for Purchase of Units. In case of joint applicants, PAN details of all holders should be submitted. In case the investor making the application is a minor, PAN details of the Guardian must be submitted. Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission, however sufficient documentary evidence shall have to be submitted to Apex SIF for verifying that they are residents of State of Sikkim. Investors (being individuals) applying for Micro SIP registrations are exempt from mandatory requirement of PAN submission. For further details on Micro SIP, please refer instructions (E-23) in SIP Application Form.

3. **DIRECT APPLICATIONS AND EUIN**

   **a.** **Investment in Direct Plan:** Investors applying under Direct Plan, are advised to write the word 'DIRECT' in the column 'ARN No' or 'Broker Code' in their applications for purchases/additional purchases/switches in all such cases where applications are not routed through any distributor/ agent/broker. In cases where unit holder uses a pre-printed transaction slip/application form where details in the 'ARN No' or 'Broker Code' column is already printed, unit holder should cancel the ARN No/ Broker Code, write 'DIRECT' in the said column. **Also, in case ARN No/ Broker Code is mentioned in the application form, but "Direct Plan" is indicated, the ARN No/ Broker Code will be ignored and the application will be processed under Direct Plan, subject to it being complete in all other aspects. Further, where application is received for Regular Plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan.**

   b. Employee Unique Identification Number (EUIN) is a unique number allotted to Sales personnel i.e. employee/ relationship manager/ sales person of the distributor interacting with the investor for the sale of mutual fund products. Such sales personnel associated with Distributor, should also be holding a valid NISM certificate. Thus, in case of applications routed through distributors, in addition to the AMFI Registration Number (ARN) of the distributor, Investors are requested to also provide the EUIN of the individual ARN holder or of employee/relationship manager/sale person of the Distributor interacting with the investor. Providing appropriate EUIN in the application/ transaction forms would assist in tackling the problem of mis-selling even if the Sales personnel on whose advice the transaction was executed by investor leaves the employment of the distributor or his/her sub broker. If the distributor has not given any advice pertaining to the investment (i.e. transaction is 'execution only'), then the EUIN box may be left blank, but it would be mandatory for the investor to provide confirmation as mentioned in the application form.

4. **KNOW YOUR CUSTOMER (KYC)**

   According to guidelines issued by SEBI under 'The Prevention of Money Laundering Act, 2002', Mutual Funds are required to follow enhanced know your customer (KYC) norms. Investors can visit branches of ABSLAMC or may visit https://apexsif.adityabirlacapital.com/ www.amfiindia.com and www.cdslindia.com to know detailed procedure for KYC compliance.

   **Effective January 01, 2011 it is mandatory for all category of investors to be KYC compliant for all investment transactions made on or after January 01, 2011, irrespective of amount of investment.**

   To further clarify, the above category of investors shall include:

   i. their constituted Power of Attorney (PoA) holder, in case of investments through a PoA

   ii. each of the applicants, in case of investments in joint names; and

   iii. Guardian in case of investments on behalf of minor.

   Applications without KYC Acknowledgement letter for the specified category of investors are liable to be rejected.

   Provided further, where it is not possible to verify the KYC compliance status of the investor at the time of allotment of units, the ABSLAMC shall verify the KYC compliance status of the investor within a reasonable time after the allotment of units. In the event of non compliance of KYC requirements, the ABSLAMC reserves the right to freeze the folio of the investor(s) for any kind of transactions or affect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load. Investors should note that on completion of KYC Compliance all details of the investor in the Mutual Fund records will be replaced by the details as given in KYC Application Form by the investor. Any change in these details like change of Name / Address / Status /Signature, etc. should be given by Investor directly in the prescribed manner.

   Pursuant to SEBI Circular No. MIRSD/ Cir-26/ 2011 dated December 23, 2011, SEBI (KYC Registration Agency) Regulations, 2011 and SEBI Circular No. MIRSD/SE/Cir-21/2011 dated October 05, 2011, regarding uniformity in the Know Your Customer (KYC) process in the securities market and development of a mechanism for centralization of the KYC records to avoid duplication of KYC Process across the intermediaries in the securities market, the following changes are being made to KYC process w.e.f. January 01, 2012:

   1. SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries viz. Mutual Funds, Portfolio Managers, Depository Participants, Stock Brokers, Venture Capital Funds, Collective Investment investment strategy, etc. New Investors are therefore requested to use the common KYC Application Form and carry out the KYC process including In-Person Verification (IPV) with any SEBI registered intermediaries including mutual funds. The KYC Application Forms are also available on our website www.adityabirlacapital.com.
   2. The Mutual Fund shall perform the initial KYC of its new investors and may undertake enhanced KYC measures commensurate with the risk profile of its investors. The Mutual Fund shall upload the

## INSTRUCTIONS FOR ONE TIME MANDATE FORM

- Investors who have already submitted an NACH/AUTO DEBIT form or already registered for NACH/AUTO DEBIT facility should not submit NACH/AUTO DEBIT form again as NACH/AUTO DEBIT registration is a one-time process only for each bank account. However, if such investors wish to add a new bank account towards OTM facility may fill the form.
- Investors, who have not registered for NACH/AUTO DEBIT facility, may fill the NACH/AUTO DEBIT form and submit duly signed with their name mentioned.
- Mobile Number and Email Id: Unit holder(s) should mandatorily provide their mobile number and email id on the mandate form. Where the mobile number and email id mentioned on the mandate form differs from the ones as already existing in the folio, the details provided on the mandate will be updated in the folio. All future communication whatsoever would be, thereafter, sent to the updated mobile number and email id.
- Unit holder(s) need to provide along with the mandate form an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unit holder(s) cheque/ bank account details are subject to third party verification.
- Investors are deemed to have read and understood the terms and conditions of NACH/AUTO DEBIT Facility, SIP registration through NACH/AUTO DEBIT facility, the Investment Strategy Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and Addenda issued from time to time of the respective investment strategy(s) of Apex SIF.
- Please mention the amount in figures and words.
- Please fill all the required details in the Debit Mandate Form for NACH/Auto Debit. The sole/first holder must be one of the holders in the bank account.
- The UMRN, the Sponsor Bank Code and the Utility Code are meant for office use only and need not be filled by the investors.
- The 9 digit MICR and the 11 digit IFSC are mandatory requirements without which your SIP applications will be rejected. You should find these codes on your cheque leaf.
- Mandate can be issued for a maximum duration of 40 years from the date of issuance.

**Website :** https://apexsif.adityabirlacapital.com/ | **E-mail :** care.apexsif@adityabirlacapital.com | **Contact Centre :** 1-800-4356-8000

**Acknowledgement Slip** **(To be filled in by the Investor)** **Apex Equity Long-Short Fund**

Investment Strategy ______________________ Plan ____________ Option __________ Amount (₹) ____________________

Investment Strategy ______________________ Plan ____________ Option __________ Amount (₹) ____________________

Investment Strategy ______________________ Plan ____________ Option __________ Amount (₹) ____________________

details of the investors on the system of the KYC Registration Agency (KRA). Registrar & Transfer Agent (RTA) of the Mutual Fund may also undertake the KYC of the investors on behalf of the Mutual Fund. KRA shall send a letter to the investor within 10 working days of the receipt of the initial/updated KYC documents from the Mutual Fund, confirming the details thereof.

3. Once the investor has done KYC with a SEBI registered intermediary, the investor need not undergo the same process again with another intermediary including mutual funds. However, the Mutual Fund reserves the right to carry out fresh KYC of the investor.

4. It is mandatory for intermediaries including mutual funds to car out In-Person Verification (IPV) of its new investors w.e.f January 01, 2012. The IPV carried out by any SEBI registered intermediary can be relied upon by the Mutual Fund. ABSLAMC and NISM/AMFI certified distributors who are KYD compliant are authorized to undertake the IPV for Mutual Fund investors. Further, in case of any applications received directly (i.e. without being routed through the distributors) from the investors, the Mutual Fund may rely upon the IPV (on the KYC Application Form) performed by the scheduled commercial banks.

5. Existing KYC compliant investors of the Mutual Fund can continue to invest as per the current practice. However, existing investors are also urged to comply with the new KYC requirements including IPV as mandated by SEBI.

6. The payment for investment by means of Cheque, Demand Draft or any other mode shall be accepted from the bank account of the minor or from a joint account of the minor with the guardian only.

**5. RESTRICTION ON ACCEPTANCE OF THIRD PARTY PAYMENT:**

a) Pursuant to the AMFI Best Practice Guidelines circular on 'Risk mitigation process against Third-Party Cheques in mutual fund subscriptions' read with compliance with 'Know your Customer (KYC)' norms under Prevention of Money Laundering Act, 2002 (PMLA), **Aditya Birla Sun Life AMC Limited (ABSLAMC)/ Apex SIF shall not accept applications for subscriptions of units accompanied with Third Party Payments,** except in the cases as enumerated below in para (c).

b) **"Third Party Payment"** means payment through an instrument issued from a bank account other than that of the beneficiary investor. In case of payments from a joint bank account, the first named investor/holder of the mutual fund folio has to be one of the joint holders of the bank account from which payment is made.

c) ABSLAMC shall not accept subscriptions accompanied with Third Party Payments except in the following exceptional situations subject to submission of requisite documentation/declarations enumerated in para (d) below:

i. Payment by Employer on behalf of employee under Systematic Investment Plans (SIP) through Payroll deductions.

ii. Custodian on behalf of an FPI or a client.

d) In case of 'exceptional situations' mentioned above, investors are required to submit following documents/declarations alongwith the application form without which such applications will be rejected/ not processed/refunded:

i. Mandatory KYC for all Investors (guardian in case of minor) and the person making the payment i.e. third party. In order for an application to be considered as valid, investors and the person making the payment should attach their valid KYC Acknowledgement Letter to the application form.

ii. A separate, complete and valid 'Third Party Payment Declaration Form', inter alia, containing the details of the bank account from which the payment is made and the relationship with the investor(s). The declaration has to be given by the person making the payment i.e. Third Party. Please contact the nearest Investor Service Centre (ISC) of ABSLAMC or visit our website https://apexsif.adityabirlacapital.com/ for the said Declaration Form.

ABSLAMC/Apex SIF shall verify the source of funds to ensure that funds have come from the drawer's account only.

e) Investors are requested to note that, in case of:

**i. Payment by Cheque:** An investor at the time of his/her purchase must provide the details of his pay-in bank account (i.e. account from which a subscription payment is made) and his pay-out bank account (i.e. account into which redemption/Income Distribution cum capital withdrawal option proceeds are to be paid).

If the name/bank account number is not pre-printed on the cheque and signature on the cheque does not match with signature on the application, then the first named applicant/investor should submit any one of the following documents:

a. a copy# of the bank passbook or a statement of bank account having the name and address of the account holder and account number;

b. a letter* (in original) from the bank on its letterhead certifying that the investor maintains an account with the bank, along with information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available).

# Investors should also bring the original documents along with the documents mentioned in (a) above to the ISCs/Official Points of Acceptance of Apex SIF. The copy of such documents will be verified with the original documents to the satisfaction of the ABSLAMC/Apex SIF. The original documents will be returned across the counter to the investor after due verification.

* In respect of (b) above, it should be certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number.

Investors should note that where the bank account numbers have changed on account of the implementation of core banking system at their banks, any related communication from the bank towards a change in bank account number should accompany the application form for subscription of units.

**ii. Payment by Prefunded Instrument:**

(1) If the subscription is settled with pre-funded instruments such as Pay Order, Demand Draft, Banker's cheque, etc., a Certificate (in original) from the Issuing banker must accompany the purchase application, stating the Account holder's name and the Account number which has been debited for issue of the instrument.

The account number mentioned in the Certificate should be a registered bank account or the first named unitholder should be one of the account holders to the bank account debited for issue of such instruments. Investors may also submit a copy of the acknowledgement from the bank, wherein the instructions to debit carry the bank account details and name of the investor as an account holder, or a copy of the passbook/bank statement evidencing the debit for issuance of a DD, provided bank account number has to match with the details provided in the application form and name should match with the name of the first named unitholder.

(2) A pre-funded instrument issued by the Bank against Cash shall not be accepted for investments of ₹ 50,000/- or more. This also should be accompanied by a certificate from the banker giving name, address and PAN (if available) of the person who has requested for the payment instrument. The name mentioned on the Certificate should match with the name of the first named unitholder and certificate must state such investor's bank account number and PAN as per bank record, if available.

The Certificate(s) mentioned in (1) and (2) above should be duly certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number.

**iii. Payment by RTGS, NEFT, ECS, NECS, Bank transfer, etc:** A copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer Instruction copy should be a registered bank account or the first named unitholder should be one of the account holders to the bank account.

**iv. Cash acceptance towards subscription**

In accordance with SEBI circular CIR/IMD/DF/10/2014 dated May 22, 2014, Investors who are KRA-KYC compliant and do not belong to the top 15 cities* may avail of facility of subscribing to units of the investment strategy through cash to the extent of ₹ 50,000/- per investor per financial year. However, repayments in form of redemptions, Income Distribution cum capital withdrawal option, etc. with respect to investments through cash, shall be paid only through banking channel. Currently, cash shall be accepted at designated Investor Services Centers of Computer Age Management Services Ltd (CAMS) in Guntur, Bhagalpur, Palanpur, Satara, Sangli, Bhatinda, Kota, Namakkal, Bareilly and Haldia. For detailed Address of Investor Services Centers of CAMS, refer address at the end of the document. Also, for the detailed procedures for making cash applications, Investors may enquire at the said designated ISCs for further assistance. *Top 15 cities shall mean top 15 cities based on Association of Mutual Funds in India (AMFI) data on 'AUM by Geography - Consolidated Data for Mutual Fund Industry' as at the end of the previous financial year. In case the application for subscription does not comply with the above provisions, ABSLAMC/Apex SIF retains the Sole and absolute discretion to reject/not process such application and refund the subscription money and shall not be liable for any such rejection.

vi. Investors residing in Centres, where the Investors service Centres of the mutual fund are not located, are requested to make payment by demand drafts payable at the Centre where the application is to be lodged. D.D. charges would be borne by the fund only for the investors residing at places which are not covered by our office / authorised centres & DD Charges are mentioned in the form. The maximum charges so borne by the fund would be restricted to limits as prescribed by State Bank of India.

**6. EMAIL COMMUNICATION**

Account Statements, Quarterly Newsletter, Annual Reports and Transaction Confirmation can be sent to Unit holders by post / email. Should the Unit holder experience any difficulty in accessing in the electronically delivered documents, the unit holder shall promptly inform the same to the Mutual Fund. It is deemed that the Unit holder is aware of all security risks including possible third party interception of the documents and contents of the documents becoming known to third parties. For ease of communication, first applicant's own email ID and mobile number should be provided.

**7. DEMAT ACCOUNT DETAILS: Option to hold Units in dematerialized (demat) form**

Pursuant to para 15.17.2 of the SEBI Master Circular for MF dated March 20, 2026, investors have an option to subscribe to/hold units of investment strategy(s)/Plan(s) viz. open ended, close ended, Interval (except for exchange traded fund/s) in dematerialized (demat) form.

Consequently, the Unitholders under the investment strategy(s)/Plan(s) shall have an option to subscribe to/ hold the units in electronic (demat) form in accordance with the provisions laid under the respective investment strategy(s)/Plan(s) and in terms of the guidelines/procedural requirements as laid by the Depositories (NSDL/CDSL) from time to time. Units under Plan(s)/Option(s) of all investment strategy of Apex SIF with Income Distribution cum capital withdrawal option of daily, weekly or fortnightly frequency, as defined under respective Investment Strategy Information Document, shall be available in physical (non-demat) mode only. Also, various Special Products/ Facilities such as Systematic Withdrawal Plan, Systematic Transfer Plan, Switching etc. offered by AMC/Apex SIF shall be available for unitholders in case the units are held/opted to be held in physical (non-demat) mode.

Investors intending to hold units in electronic (demat) form will be required to have beneficiary account with a Depository Participant (DP) (registered with NSDL / CDSL) and will be required to indicate, in the application form, the DP's name, DP ID Number and the Beneficiary account number of the applicant held with the DP at the time of subscribing to the units. Applicants must ensure that the sequence of the names as mentioned in the application form matches with that of the Beneficiary account held with the DP. Names, PAN details, KYC details etc. mentioned in the Application Form will be verified against the Depository records. **If the details mentioned in the application form are found to be incomplete/ incorrect or not matching with the depository records, the application shall be treated as application for physical (non-demat) mode and accordingly units will be allotted in physical (non-demat) mode, subject to it being complete in all other aspects.** Unitholders who have opted to hold and thereby allotted units in electronic (demat) form will receive payment of redemption / Income Distribution cum capital withdrawal option proceeds into bank account linked to their Demat account.

Units held in electronic (demat) form will be transferable subject to the provisions laid under the respective investment strategy(s)/Plan(s) and in accordance with provisions of Depositories Act, 1996 and the Securities and Exchange Board of India (Depositories and Participants) Regulations, 1996 as may be amended from time to time.

In case, the Unitholder desires to hold the Units in a Dematerialized /Rematerialized form at a later date, the request for conversion of units held in physical (non-demat) mode into electronic (demat) form or vice-versa should be submitted alongwith a Demat/Remat Request Form to their Depository Participant(s). Investors should ensure that the combination of names in the account statement is the same as that in the demat account.

## THE REGISTRAR

AMC has appointed Computer Age Management Services Limited (CAMS) located at Rayala Towers, 158, Anna Salai, Chennai - 600002 to act as Registrar and Transfer Agents ("The Registrar") to the investment strategy. The Registrar is registered with SEBI under registration number INR 000002813.

**For further details on our Fund, please contact our customer service centres at details given below**

## "DURING NEW FUND OFFER"
## BRANCH OFFICE OF APEX SIF

**Agartala:** Om Niwas 2nd floor Netaji Choumuni Near HDFC bank Agartala - 799001 **Agra:** Shop No. 3, Block No. 54/4, Ground Floor, Prateek Tower, Lashkar Pur City,Circle, Sanjay Place, Agra - 282002. **Ahmedabad:** 1st floor, Ratnaraj Spring Complex, Near post office, Opp. HDFC bank house, Navrangpura, Ahmedabad-380009. **Ahmedabad:** 2nd Floor Poonam Plaza, Opp Citi Corner Rambaug, Maninagar Ahmedabad - 380028 **Ahmedabad – Prahladnagar:** 2nd Floor Dinesh Complex, Behind Anand Nagar MTS bus stop, Anand Nagar Road, Prahladnagar, Ahmedabad - 380051 **Ahmednagar:** 2nd Floor Mauli Sankul,NR Zopadi Canteen,Manmad Road Savedi, Ahmednagar-414003 **Ajmer:** 1st Floor Patwari Bhawan, 11/191 Kutchery Road, Ajmer- 305001 **Akola:** First Floor, SANKET Chambers, Civil Lines Chowk,Opp. Khandelwal Lab, Akola, Maharashtra-444001 **Aligarh:** First Floor, Landmark Shopping Mall, Marris Road, Centre Point, Aligarh -202001 (U.P) **Aleppey:** SSecond Floor, Pallavi Towers, Subhash Road, Anantapur, Andhra Pradesh - 515001 **Allahabad:** Upper ground floor,45/1(202),MG Marg,Civil Lines, Allahabad-211001 **Alwar:** Shop No 2 , Road No.-2, Scheme-1, Jai Complex, Alwar, Rajasthan - 301001 **Ambala:** 1st Floor, 5396, Punjabi Mohalla Nicalson Road, Ambala Cantt - 133001 **Amravati:** 1st Floor,Katri Mall, Morchi Road, Amravati - 444602 **Amritsar:** Central mall, 3rd Floor, Opp ICICI Prudential, Mall Road, Amritsar - 143001 **Ankleshwar:** Shop # 7, 1st Floor, Roshani Plaza, GIDC, Ankleshwar - 393 002 **Ananthapur:** Second Floor, Pallavi Towers, Subhash Road, Anantapur, Andhra Pradesh - 515001 **Anand:** 1st floor, 104, Maruti Sumiran complex, Opp.Nandbhumi party plot, Anand Vidhyanagar Road, Anand - 388001 **Asansol:** Shree Vishal Plaza, 1st. Floor, G T Road, Asansol 713303 **Aurangabad:** Shop No. 101 & 102, 1st Floor, Super Market, Nirala Bazar, Aurangabad - 431001 **Bangalore:** Ground Floor, No. 60/4, 32nd C Cross Road, 4th Block Jayanagar, Bangalore – 560011. **Bangalore - Jaynagar:** Ground Floor, No. 60/4, 32nd C Cross Road, 4th Block Jayanagar, Bangalore – 560011. **Bareilly:** 1st Floor, Flat no. 111-112, Ratandeep Complex, Civil Lines, Near Chowki Chauraha, Bareilly - 243001 **Bankura:** 80/1/A Bankura Raghunathpur Main Road,Nutanchati Mahalla,Bankura – 722101 **Bengaluru - M G Road:** # 9/3, Ground Floor, Nitesh Broadway, MG nd Road, Bangalore- 560001 **Baroda:** Soham Complex, 49, Alkapuri society, Ground Floor, Opp HDFC Bank Alkapuri, R C Dutt Road, Vadodara-390007 **Belgaum:** No. 14, 2 floor, Shri Krishna Towers RPD Cross, Khanapur Road Tilakwadi, Belgaum – 590006. **Bellary :** "Sree Gayathri Towers", No. 4, Gopal Swamy (Moka) Road, Gandhi Nagar, Bellary - 583103. **Bhagalpur:** 1st Floor, ANGAR Complex, Near Ajanta Cinema, Patal Babu Road, Bhagalpur BIHAR- 812001. **Bharuch:** 205/206, Aditya Complex, Kasak Circle, Bharuch - 392001. **Bhatinda :** First Floor, Above Punjab national bank, Tinkoni, G.T Road, Bhatinda-151001 Punjab. **Bhavnagar:** First Floor, 107, Surbhi Mall, Waghawadi Road, Bhavnagar, Gujarat 364002 **Bhilai:** 81, Commercial Complex, Nehru Nagar(East), Bhillai - 490020, **Chhattisgarh Bhilwara:** Shop No. A7 Ground Floor, SK Plaza, Pur Road, Bhilwara-311001 Rajasthan **Bhopal:** 149 Kamal Tower, MP Nagar Zone 1 Bhopal- 462011 **Bhubaneswar:** 1st Floor,96, UNIT III,Kharvel Nagar, Janpath, Bhubaneswar-751001, Odisha **Bhuj:** Ground Floor, Pooja Complex, A wing, Shop no 1, Near ICICI Bank, Station Road, Bhuj -Kutch, Gujarat- 370001 **Bikaner:** 1st Floor, Vishwas Plaza, Rani Bazar Circle, Bikaner - 334 001 **Bilaspur:** 2nd Floor,Shriji Plaza,Sonchhatra Compound,Near Shiv Talkies Square,Bilaspur 495001,Chhattisgarh **Bokaro:** Plot No. Hb- 09, City Centre, Sector-4, Bokaro Steel City - 827004, Jharkhand **Burdwan:** Al Amin Bhavan ,Parbirhata,G T Road ,Sripally,Burdwan ,Pin 713103 **Calicut:** Door No-6/296 G1, Karuppali Square, 2nd Floor, YMCA Cross Road, Calicut-673001 **Chandigarh:** SCO: 2423-2424, Ground Floor, Sector 22C, Chandigarh – 160022 **Chennai – Adyar :** First floor, New No. 131, Old No.64, L B Road,( Kalki Krishna Murthy Salai), Thiruvanamiyur, Chennai - 600041 **Chennai – Anna Nagar : -** 1st floor, No. 334/4, Galaxy Apartment, 2nd Avenue, Anna Nagar, Chennai - 600040 **Chennai - Madhurai:** S.E.V.Grandson 1st Floor, 280-B, Good Shed Street, Madurai 625 001 **Chennai -** Arcade Centre, No: 110/1, 3rd floor, Uthamar Gandhi Salai (formerly Nungambakkam High Road), Nungambakkam, Chennai - 600 034 **Cochin:** Pynadath Towers, Opp. Cochin Shipyard (Main Gate), Ravipuram, M G Road, Kochi - 682015 **Coimbatore:** 723 A & B, II nd Floor, Thirumalai Towers, Avinashi Road , Coimbatore - 641018 **Cuddapah:** 2/380, First Floor, R S Road, OPP. LIC Office Kadapa - 516001 **Cuttack:** Gopal Bhawan, Oppsite BSNL Office, Buxi Bazar, Cantonment Road, Cuttack, Odisha- 753001 **Dalhousie:** Indian Chamber of Commerce, 2nd floor , ICC TOWER, 4, India Exchange Place, Dalhousie, Kolkata 700001 **Darbhanga:** First Floor, Opp. ICICI Bank,Main Road, Laheriasarai, Darbhanga, Pin- 846001. **Davangere:** 1st Floor, No. 199/3-A, 3rd Main, 7th Cross, P J Extension, Davanagere - 577002 **Dehradun:** 97 India Trade th Centre,Rajpur road,Adjoining hotel Madhuban, Dehradun-248001 **Delhi:** 406-415, 4 floor, Narain Manzil, 23 Barakhamba Road, New Delhi - 110001 **Deoghar:** Jagannath Dham,1st Floor,SSM Jalan Road,Near IDBI Bank, Deoghar-814112. **Dhanbad:** Shop No-202 2nd Floor Shriram Plaza Bank More Dhanbad-826001 **Dhule:** 1st Floor, C T S No 1606/a, Lane No 6, Opp HDFC Bank, Dhule, Maharashtra, 424001 **Durgapur:** First Floor, "Landmark", Shahid Khudiram Sarani,City Center, Durgapur - 713216. **Erode:** 318,First floor, Sakthi road, Erode-638003 **Faridabad:** SCO No. 2, First Floor, SEC-16, Faridabad -121002 **Firozabad:** 1st floor , 266/267, Agra Gate, New Basti, Firozabad -283203, (Uttar Pradesh). **Gandhidham:** Office # 1, 1st Floor, Aum Corner, Plot # 336/337/343, Ward # 12-B, Near Banking Circle, Gandhidham - 370 201. **Ghaziabad:** 17, Advocate Chambers RDC, Ghaziabad - 201001 **Gorakhpur :** First Floor, Prahlad Rai Trade Centre, Bank Road, Gorakhpur- 273001 **Guntur :** D NO: 31-10-880, 10/3, Arundelpet, Guntur- 522002. **Gurgaon:** Unit no. 16, Ground floor, Vipul Agora, Sector 28, MG Road, Gurgaon – 122002 **Guwahati :** 201, 2nd floor, Orion Place, G.S Road, Near Mizoram House, Guwahati – 781 005 **Gwalior:** Ground Floor, Orion Tower, City Center, Gwalior - 474011 **Haldwani:** U 9B, Upper Ground Floor, Durga City Centre, Opposite Adiction GYM, Haldwani, Nanital , Uttrakhand: 263139 **Haridwar:** First Floor,Above Manyavar Showroom, Opp. Le-Grand Hotel Plot no. 5, Gobindpuri, Ranipur Mod, Haridwar-249401 **Himmatnagar:** 1st Floor Kumar house ,Durga bazaar ,Near Railway crossing ,Himmatnagar - 383001 Gujarat **Hisar:** 1st Floor, Kamala Place, Plot # 57 – 60, Kamala Nagar, Red Square Market, Hissar – 125 001 **Hosur:** First Floor, Opp. Ramakrishna Scholl, Denkanikotta Road, Hosur – 635 109 **Hubli:** 15, Upper Ground Floor, Vivekanand Corner, Desai Cross, HUBLI–580029 **Hyderabad -** RVR Towers, 6-3-1089/F, Level - 1A, Raj Bhavan Road, Somajiguda, Hyderabad, Telangana State, Pin: 500082. **Hyderabad -** Madhapur: No. 1-98/2/11/3, 2nd Floor, Srishti Towers, Madhapur, Hyderabad – 500 081 **Indore:** 9/1/1, 1st Floor, Above Yes Bank, Near Treasure Island Mall, M. G. Road, Indore - 452001. **Jabalpur:** Ground Floor, Motor Mitra Building, Near petrol Pump, Napier Town, Jablapur- 482001 **Jaipur:** G-2, Ground Floor, Anukampa Fortune Heights, Opp. ICICI Bank (Main Branch), Subhash Marg, C - Scheme, Jaipur - 302001. **Jalandhar:** Unit # 29, 1st Floor, City Square, G T Road, Jalandhar - 144 001 **Jalgaon:** Ground Floor,Geetai Villa Shop no 1, Jai Nagar, Opp Omkareshwar Temple, Jalgaon - 425002 **Jalpaiguri:** 1st Floor, Cosmos Arcade, Dbc Road, beside Axis Bank, Jalpaiguri, Pin Code- 735101 **Jammu:** Shop no 105, 1st Floor North Block, Bahu plaza, Jammu- 180004 **Jamnagar:** Shop No. 103, First Floor, Madhav Square, Limbda lane Corner, Lal banglow Road, Jamnagar, Gujarat-Pin 361001. **Jamshedpur:** 1st Floor, Shanti Hari Abasan,1G, Inner Circle Road, Bistupur, Jamshedpur - 831001. **Janakpuri:** SCO number 112, 114, First Floor, Mahatta Tower, Community Centre, Janakpuri,New Delhi - 110058 **Jhansi:** Building no 372/44 New no. 670 civil lines, Gwalior road, Jhansi-284001. **Jodhpur:** Panchratna Apartment, Plot No. 818, 7A & 7B, Chopasani Road, Jodhpur - 342 003. **Junagadh:** 2nd Floor Shop 211 Rayjinagar shopping centre,Opp Hdfc Bank Moti baug Junagadh – 362001 **Kanpur:** 114/113, Kan chambers,office No. 103- 106,Civil Lines,Kanpur-208001 **Kannur:** 3rd Floor, Grand Plaza, Room # TV - 33/362 Z-3, Fort Road, Kannur - 670 001 **Karnal:** Ground Floor, DSS No. 212, Sector 12, Karnal – 132 003 **Karimnagar:** First Floor, City Centre mall, IB Guest House Circle, Karimnagar – 505401 **Kestopur:** Shop No 7, Block 5 , Clubtown, VIP Road, Tegharia, Kolkata -700052. **Kharagpur:** Ground Floor, Suchana Building, Kamala Cabin Inda, West Medinipur, Kharagpur-721305 **Kolhapur:** First Floor, Jaduban Plaza F-3, Shahupuri, Bhaskarrao Jadhav chowk, Near panch Banglows, Kolhapur-416001 **Kollam:** 2nd Floor, A Narayana Business Centre, Kadappakkada, Kollam - 691008 **Kolkata:** Ground Floor, Industry House, 10, Camac Street, Kolkata - 700 017 **Korba:** 1st floor, Corporate Avenue, Plot No 93, Indira,Commercial & Residential Complex, Transport Nagar, Korba-495677. Chhattisgarh **Kota:** Second floor, 96 shopping centre, opposite bharat hotel, Kota - 324007 **Kottayam:** First Floor, Pulimoottil Arcade, KK Road, Kanjikuzhy, Kottayam - 686004 **Lucknow:** 103-B,1st Floor,Shalimar Square, Lalbagh, Lucknow-226001 **Ludhiana:** SCO-2, Ground Floor, ABC Building, Feroze Gandhi Market, Ludhiana-141001 **Malapuram:** 1st Floor, Perumbally Tower,Near AUP School,Up Hill Road, Malappuram - 676 505,Kerala. **Malda:** Krishna Bhawan 1st Floor, Sukanta More (420 More), Near – ICICI Bank, Malda - 732101. **Mangalore:** Shop No. 207-209, Jhanvi Plaza, 2nd Floor, Karangalpady, Mangalore, Karnataka - 575 003 **Margao:** Ground Floor, Shop No.7 & 8, Colaco Building, Abade Faria Road, Margao- Goa, 403601 **Mathura:** Ground Floor,Tera Tower,Bhuteshwar Road, Mathura-281004 **Meerut:** Unit No-05 & 06, Ground Floor, Om Plaza, Opp. Ganga Plaza, Begum Bridge Road, Meerut-250001 **Mehsana:** 1st Floor Jaydev Complex Opp. Pashabhai Petrol Pump State Highway Mehsana – 384 002 Gujarat. **Moradabad:** Near Hotel Rajmahal, Infront of Dr P K Das,Civil Lines, Moradabad-244001 **Mumbai - Andheri:** 1st Floor Kohli Villa S.V Road Opp ICICI Bank,Andheri West, Mumbai **Mumbai - Borivali:** Jayesh Apartment, Shop No 2 & 3, Ground Floor, Opp. Standard Chartered Bank, Near Shyamaprasad Mukherjee Garden, Chandarvarkar Road, Borivali (West), Mumbai - 400092. **Mumbai - Goregaon:** Romell Tech Park (R-Tech Park), 12th Floor, Nirlon Compound, Off Western Express Highway, Goregaon (E), Mumbai 400063. **Mumbai-Ghatkopar:** Shop # 9 & 10, Neelkanth Regent, R D Narkar Marg, Ghatkopar (East), Mumbai – 400077. **Mumbai (IH):** Industry House, 1st Floor, Churchgate Reclamation, Mumbai 400 020 **Mumbai (IB):** One India Bulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai- 400013. **Mumbai - Kalyan:** Shop no 5 & 6 Ground Floor, Vikas Heights, Next to NKGSB Bank, Santoshimata Road, Kalyan (W), Thane - 421301 **Muzzafarpur :** 235, Patel Nagar, Near Ramlila Ground, New Mandi, Muzaffarnagar-251001 **Muzzafarnagar:** 414, Kambal Wala Bagh, New Mandi, Muzaffarnagar -251001, (Uttar Pradesh). **Mysore:** No. 442-443, Laxman Plaza, Chamraja Double Road, Near Ramaswamy Circle, Mysore -570024. **Nadiad:** City Centre Unit – 211 2nd Floor, Near City point, Paras Cinema Road, Nadiad - 3870010 **Nagpur:** 1st Floor, The EDGE, Above Equitas Bank, WHC Road, Shankar Nagar, Nagpur – 440 010. **Nasik:** Office # G-3, Ground Floor, Suyojit Heights, Opp. Rajiv Gandhi Bhavan, Sharanpur Road, Nashik - 422 002 **Navsari:** 103, 1st floor, Swiss Cottage, Ashanagar Main Road,Navsari-396445. **Nanded:** Shop # 12, 1st Floor, Sanman Prestige, Opp. Railway Station, Nanded - 431 601 **Nellore:** First Floor, "Neelima Towers" No. 16-1-17, R R Street, AC Statue, Nellore – 524001 **New Delhi:** Space no 9,Lower Ground Floor, Block E ,International Trade Tower, Nehru Place ,New Delhi :-110019. **Noida:** Office no.: 509,5th floor, Chokhani Square,Sector-18 , Noida- 201301 **Palakkad :** Second Floor, Aradhana Arcade, Kunnathurmedu, Kalmandapam, Palakkad, Kerala - 678013 **Panipat:** N. K Tower, Office no -1, Second Floor, G.T Road, Panipat 132103 **Panjim:** 1st Floor, Ashok-Samrat Complex, Above Vishal Mega Mart, Off. 18th June Road, Panaji, Goa- 403001 **Patiala:** 1-SCO 88, 1st Floor, New Leela Bhawan Market,Tehsil & Disrict Patiala, Patiala - 147001 **Patna:** Shop No UG 1 & 2, Kaushalya Estate, Bandar Bagicha, near Dak Bangla More, Patna - 800001. **Pimpri:** Ground Floor, B-5, Empire Estate, Old Mumbai Pune Highway , Near Ranka Jwellers, Pimpri, Pune- 411019. **Pitampura:** Shop No. 109A, First Floor, PP Towers, Netaji Subhash Place, Pitampura,New Delhi - 110034 **Pondicherry:** First Floor, No. 66, JSV Building, 100Feet Road, Ellapillaichavady, Pondicherry – 605005. **Pune (Bhandarkar Rd):** 1st floor, Signature Complex, Opp. Golwilkar Metropolis Health Service, Bhandarkar Roa , Pune - 411 004. **Pune - Camp:** Shop. No. 11, Kumar Pavilion, East Street, Camp, Pune - 411001. **Raipur:** 2nd Floor S-1, Raheja Towers, Fafadih Chowk Jail Road, Raipur-492001, Chhattisgarh **Rajahmundry:** Door No : 6-8-7, First Floor, Upstairs of HDFC Bank, T Nagar, Nidamarthi Street, Rajahmundry - 533101, Andhra Pradesh **Rajkot:** Office No. 101, 1st Floor, The Imperia, Near Limbda Chowk, Opposite Shastri Maidan, Rajkot – 360002, Gujarat **Ranchi:** Ground Floor, Sethi Corporate P. P. Compound Ranchi - 834001 **Rohtak:** 2nd Floor, Plot # 120-121nd, Bank Square, Delhi Road, Opp. Manya Tourism, Rohtak – 124 001 **Rourkela:** 1st Floor, Aditya Ventures, Holding No.72, Udit Nagar, Opp: Court, Rou kela - 769012. **Sagar:** Ground Floor, Near Muthoot Finance, Katra Ward (Anya Marg), Opp Deluxe Petrol Pump, Gujarati Bazar, Station Road, Sagar - 470 002 **Saharanpur:** 1st Floor, Krishna Complex, Moh. Missoin Compound, Court Road, Saharanpur – 247001 **Salem:** NO 4,Anura complex,Omalur Main road,Angammal colony Entrance,Salem 636009 **Sambalpur:** (Above Kalinga Hardware), Budharaja Road, In front of SBI, Sambalpur, Odisha-768004 **Sangli:** First Floor, Signature Complex, Sangli - Miraj Road, Opposite Zilha Parishad, Sangli - 416416. **Satara:** 1st Floor, Shop no. 2, Adarsh Corner,Radhika Road Satara- 415001. **Satna:** 1st Floor, "Om Tower", Krishna Nagar Road, Satna – 470 002. **Serampore:** Ground Floor , 81 N.S Road,Serampore,Hooghly – 712201 **Shillong:** RPG Complex, Keating Road, 3rd Floor, Shillong, Meghalaya -793001. **Shimla:** Sood Complex, Opposite Mela Ram Petrol Pump, Tara Hall, Circular Road, Shimla - 171003 **Shimoga:** First Floor, Anil Towers, 3rd cross, Durgi Gudi Street, Park extn, Shimoga – 577 201 – Karnataka **Sri Ganganagar:** First loor, Shah Tower, Plot no 3, Near Sukhadia circle, Sri Ganganagar, Rajasthan - 335001 **Siliguri:** 1st Floor, Merchant Square, Sevoke Road, Siliguri - 734001. **Solapur:** Veetrag Vertex, Office no. 6 & 7, 1st floor, Opp. Naval Petrol Pump, Railway Staion Road, Solapur – 413 001 **Surat:** HG-5, International Trade Center, Majura Gate Crossing, Ring Road, Surat – 395002. **Thane:** Konark Tower Ground Floor, Shop 13 - 15, Ghantali Road, Thane (W), Thane - 400602 **Thrissur:** Trichur Trade Centre, 2nd Floor, Kuruppam Road, Thrissur - 680001 **Thiruvalla:** 1st Floor, Pulimuttathu Building, Door No. 185/11 Muthoor P O, Ramanchira, Thiruvalla -689107 **Tirunelveli:** 1st Floor, 1 /4, South Bye Pass Road, Vannarapettai, Palayamkottai, Tirunelveli - 627 003, Tamilnadu **Tinsukia:** 2nd floor, Arjun Tower , Chirapatty, Tinsukia- 786125. **Tirupati:** D No:20-2- 704,Ist Floor, Near Balaji Hospital, Korlagunta, Tirupati-517501. **Trihy:** 1st Floor, Vignesh Aradhana,16, Shop 2 & 3, Sastri Road,Tiruchirapalli – 620017. **Trivandrum:** 3rd,Floor, Kailas Plaza,Pattom, Trivandrum- 695 004 **Udaipur:** 209-210 Daulat Chambers,4-D Sardarpura 2nd Floor, Udaipur-313001 (Raj.) **Ujjain:** Excel Tower, 1st Floor 6 Kamla Nehru Marg Above IDBI Bank Freeganj Ujjain 456010 (M.P.) **Valsad:** First Floor, Shop No. 101, Tarang Apartment, Opp Post Office, Valsad - 396001. **Vapi:** 145-146 Tirupati Plaza Chala Road, VAPI 396191. **Varanasi:** Arihant Complex 3rd Floor, D- 9/127 C-4 Sigra, Varanasi-221002 **Vasai:** Shop # 3, Jay Khodiyar Bhawan, Ambadi Road, Opp Gurudwara, Vasai (West), Pin – 401 202 Thane Maharashtra **Vasco:** First Floor, 101, Anand Chambers, F.L. Gomes Road, Vasco, Goa - 403802 **Vashi:** Shop # 5 & 6, Ground Floor, Om Rachana CHS, Sector 17, Vashi, Navi Mumbai – 400 703 **Vellore:** Ground Floor, No 23/2, Registrar Periyasamy Mudallyar Street, Sankaranpalayam, Vellore- 632001 **Vijayawada:** K.P.Towers, 40-1-52/6, Aditya Birla Sun Life Insurance, Acharya Ranga Nagar, Vijaywada - 520010 **Vishakapatnam:** # 47-11-268, 1st Floor, Showroom - 3, Uma Vinayanagar, Dimond Park Area, Dwaraka Nagar, Visakhapatnam – 530 016 **Warrangal:** First Floor, No. 12-4-161, "Srirama Nilayam", Old Bus Depot Road, Ramnagar Cross Road, Hanamkonda, Warrangal – 506 001. **Yamunanagar:** SCO-178, 1st Floor, Vijaya Bank Building,HUDA Complex, Near Usha Rathi Hospital,Yamunanagar, Jagadhri, Haryana -135003.

## ADDRESS OF CAMS CENTERS

**Andaman and Nicobar Islands -** II Floor, PLA Building, Opp. ITF Ground, VIP Road, Junglighat, Port Blair-744 103. **Agra :** No. 8, II Floor, Maruti Tower, Sanjay Place Agra - 282 002 **Ahmedabad :** 402-406, 4th Floor - Devpath Building Off C G Road Behind Lal Bungalow Ellis Bridge Ahmedabad 380 006 **Agartala :** Advisor Chowmuhani (Ground Floor), Krishnanagar, Agartala – 799001 **Ahmednagar :** Office no 3, 1st Floor,Shree Parvati, Plot no 1/175,Opp. Mauli Sabhagruh, Zopadi, Canteen,Savedi, Ahmednagar - 414 003. **Ajmer :** AMC No. 423/30, Near Church Brahampuri Opp. T B Hospital, Jaipur Road, Ajmer - 305001. **Akola :** Opp. RLT Science College Civil Lines Maharashtra Akola 444001 **Aligarh :** City Enclave, Opp.d Kumar Nursing Home Ramghat Road U.P. Aligarh 202001 **Allahabad :** No.7 Ist Floor Bihari Bhawan 3, S.P. Marg, Civil Lines Allahabad 211001 **Alleppey:** Doctor's Tower Building, Door No. 14/2562, 1st floor, North of Iorn Bridge, Near Hotel Arcadia Regency, Allppey, Kerala - 688 001. **Alwar :** 256A, Scheme No:1, Arya Nagar Alwar 301001 **Amaravati :** 81, Gulsham Tower, 2 Floor Near Panchsheel Talkies Amaravati 444601 **Ambala :** Opposite PEER, Bal Bhavan Road, Ambala - 134003 **Amreli:** B 1, 1st Floor, Mira Arcade, Library Road. Opp SBS Bank, Amreli – 365601. **Amritsar :** SCO - 18J, 'C' Block Ranjit Avenue, Amritsar 140001 **Anand :** 101, A.P. Tower, B/H, Sardhar Gunj Next to Nathwani Chambers Anand 388001. **Angul:** Similipada, Near Sidhi Binayak+2 Science College Angul-759122 **Ankleshwar :** Shop No - F - 56, First Floor, Omkar Complex, Opp. Old Colony, Nr Valia Char Rasta, GIDC, Ankleshwar, Gujarat - 393002 **Arambagh:** Ward No 5,Basantapur More PO Arambag ,Hoogly Arambagh - 712601 **West Bengal Arrah:** Old NCC Office, Ground Floor, Club Road, Arrah-802301. **Asansol :** Block – G 1 Floor P C Chatterjee Market Complex Rambandhu Talab P O Ushagram Asansol 713303 **Assam (Bongaigaon):** G.N.B.Road, Bye Lane, Prakash Cinema, PO & Dist. Bongaigaon, Assam - 783380 **Assam (Nangaon):** Utaplendu Chakraborthy, Amulapatty, V.B.Road, House No.315, Nagaon – 782003. **Anantapur :** 15-570-33, I Floor Pallavi Towers, Anantapur – 515001 **Andheri:** 351, Icon, 501, 5th floor, Western Express Highway, Andheri East, Mumbai - 400069. Ph.no.7303923299 **Aurangabad :** 2nd Floor, Block No. D-21-D-22,Motiwala Trade Center, Nirala Bazar,New Samarth Nagar, Opp. HDFC Bank,Aurangabad – 431001 **Bagalkot:** Shop No. 2,1st floor Shreyas Complex Near Old Bus Stand Bagalkot 587 101 Karnataka. **Balasore :** B C Sen Road Balasore - 756001 **Bangalore :** Trade Centre, 1st Floor 45, Dikensen Road (Next to Manipal Centre) Bangalore - 560 042 **Bangalore :** First Floor,17/1,- (272) 12th Cross Road,wilson Garden,bangalore-560027. **Bankura:** Cinema Road, Nutan Ganj (Beside Mondal Bakery), PO & Dist Bankura, Pin : 722101 **Barasat:** RBC Road, Ground Floor, Near Barasat Kalikrishna Girls High School, Barasat - 700124, Kolkota, West Bengal. **Bareilly* :** F-62-63, Butler Plaza, Civil Lines,Bareilly- 243001 **Bardoli:** F-10,First Wings,Desai Market,Gandhi Road,Bardoli - 394601 **Basti :** Office no 3, Ist Floor, Jamia Shopping Complex, (Opposite Pandey School), Station Road, Basti- 272002.Uttar Pradesh **Belgaum :** Classic Complex, Block No. 104, 1st Floor, Saraf Colony, Khanapur Road, Tilakwadi, Belgaum - 590 006. **Bellary:** 18/47/A, Govind Nilaya,Ward No 20,Sanganakal Moka Road,Gandhinagar,Bellary-

## ADDRESS OF CAMS CENTERS

583102. **Berhampur :** Kalika Temple Street, Beside SBI BAZAR Branch.Berhampur- 760002 **Bhadrak:** 1st floor Laxminarayanan Market Complex Byepass, Near Presidency College Bhadrak, Orissa-756101. **Bhagalpur* :** Krishna, 1st Floor, Near Mahadev Cinema, Dr. R. P. Road, Bhagalpur - 812 002. **Bharuch :** A-111, First Floor, R K Casta, Behind Patel Super Market, Station Road, Bharuch -392001 **Bhatinda* :** 2907 GH, GT Road, Near Zila Parishad, Bhatinda - 151001 **Bhavnagar :** 305-306, Sterling Point Waghawadi Road OPP. HDFC BANK Bhavnagar - 364002 **Bhilai:** First Floor, Plot No. 3, Block No. 1, Priyadarshini Parisar West, Behind IDBI Bank, Nehru Nagar, Bhilai. Dist. Durg PIN 490020 **Bhilwara :** C/o Kodwani & Associates F- 20-21, Apsara Complex Azad Market Bhilwara 311001 **Bhopal:** Plot No. 10, 2nd Floor, Alankar Complex, Near ICICI, Bank, M. P. Nagar, Zone II, Bhopal - 462 011. **Bhubaneswar :** 101/ 7, Janpath, Unit – III Bhubaneswar - 7510013, **Bhusawal :** Adelade Apartment, Christain Mohala, Behind Gulshan-E-Iran Hotel, Amardeep Talkies Road, Bhusawal – 425201 **Bhuj :** Office No. 4-5, First Floor RTO Relocation Commercial Complex –B Opp. Fire Station, Near RTO Circle , Bhuj-Kutch - 370001 **Biharsharif:** R&C Palace,Amber Station Road,Opp.: Mamta Complex,Bihar Sharif (Nalanda) Pin - 803101 **Bikaner :** 1404,amar singh pura, Behind Rajasthan Patrika, In front of Vijaya bank, Bikaner, Rajasthan - 334001 Bilaspur : Shop No. B - 104, First Floor, Narayan Plaza, Link Road, Bilaspur (C.G) 495001. Bijapur: No. 9, 1st floor Gajanan Complex, Azad Road Karnataka, Bijapur-586 101 **Bohorompur:** 107/1 A C Road,Ground Floor,Bohorompur,Murshidabad,Pin 742103,WestBengal **Bokaro :** Mazzanine Floor, F-4, City Centre, Sector 4, Bokaro Steel City Bokaro - 827004 **Borivali:** Hirji Heritage,4th Floor, Office no 402,Landmark : Above Tribhuwandas Bhimji Zaveri (TBZ) L.T. Road, Borivali - West. Mumbai - 400 092. **Burdwan :** 1st Floor Above Exide Showroom,399 G T Road Burdwan-713101 **Calicut :** 29/97G 2nd Floor, S A Arcade, Mavoor Road, Arayidathupalam, Calicut – 673016, Kerala **Chandigarh :** Deepak Towers SCO 154-155, 1st Floor Sector 17-C Chandigarh 160 017 **Chandrapur:** Opp Mustafa Decor, Near Bangalore Bakery, Kasturba Road, Chandrapur - 442 402 **Chennai :** Ground Floor No.178/10, Kodambakkam High Road Opp. Hotel Palmgrove Nungambakkam Chennai 600 034 . **Chennai: Tambaram:** III Floor, B R Complex,No.66, Door No. 11A, Ramakrishna Iyer Street,Opp. National Cinema Theatre,West Tambaram,Chennai – 600 045. **Chhindwara:** 2nd Floor, Parasia Road,Near Surya Lodge, Sood Complex, Above Nagpur CT Scan,Chhindwara – 480001. **Chittorgarh :** 3 Ashok Nagar, Near Heera Vatika,Chittorgarh - 312001 **Cochin:** Building Name :- Modayil,Door No. :- 39/2638 DJ,2nd Floor, 2A, M. G. Road, Cochin – 682 016 **Coimbatore :** No 1334, Thadagam Road, Thirumoorthy Layout, R.S. Puram,Behind Venkteswara Bakery, Coimbatore – 641002. **Coochbehar:** N.N.Road Power House Choupathi Coochbehar -736101 West Bengal **Cuttack :** Near Indian Overseas Bank Cantonment Road Mata Math Cuttack 753001 **Davenegere :** 13, Ist Floor, Akkamahadevi Samaj Complex Church Road P.J. Extension Devengere 577002 **Dehradun :** 204/121 Nari Shilp Mandir Marg Old Connaught Place Dehradun 248001 **Dharmapuri :** 94, Kandasami Vathiyar Street, Near Municipal Office, Dharmapuri - 636 701 **Darbhanga :** Ground Floor, Belbhadrapur, Near Sahara Office, Laheriasarai Tower Chowk, Laheriasarai, Darbhanga - 846001. **Deoghar :** S S M Jalan Road, Ground floor, Opp. Hotel Ashoke, Caster Town, Deoghar - 814112 **Dhanbad :** Urmila Towers Room No: 111(1st Floor) Bank More Dhanbad - 826001. **Dimapur:** MM Apartment, House No; 436 (Ground Floor), Dr. Hokeshe Sema Road, Near Bharat Petroleum, Lumthi Colony, Opposite T.K Complex, Dimapur (Nagaland) Pin-797112 . **Dibrugarh:** Amba Complex, Ground Floor, H S Road, Dibrugarh, Pin- 786001 **Dhule :** House No 3140, Opp Liberty Furniture, Jamnalal Bajaj Road, Near Tower Garden, Dhule- 424001 **Durgapur :** Plot No 3601,Nazrul Sarani,City Centre,Durgapur : 713216. **Proddatur:** Dwarakamayee, D.No 8/239,Opp Saraswathi Type Institute,Sriramula Peta, Proddatur, Andhra Pradesh - 516360. **Eluru :** No.22b-3-9, Karl Marx Street, Powerpet, Eluru, Andhra Pradesh - 534002. **Erode:** 197, Seshaiyer Complex Agraharam Street Erode 638001 **Faridhabad :** B-49, Ist Floor Nehru Ground Behind Anupam Sweet House NIT Faridhabad 121001 **Faizabad :** 1/13/196, A, Civil Lines, Behind Triupati Hotel, Faizabad, Uttar Pradesh, Pin-224001 **Firozabad:** 53,1st Floor,Shastri Market, Sadar Bazar,Firozabad - 283 203. **Gandhidham:** Office No. 4, Ground Floor, Ratnakala Arcade, Plot No 231, Ward 12 B ,Gandhidham 370201 **Gandhinagar:** 507, 5th Floor, Shree Ugati Corporate Park,Opp ,Pratik Mall,Nr HDFC Bank,Kudasan,Gandhinagar – 382421. **Gangtok:** Ground floor of Hotel mount view,Development Area,Opp- New secretariat,Near community hall,Gangtok-737101 **Gaya :** North Bisar Tank, Upper ground floor, Near - I. M. A. Hall, Gaya – 823001. **Ghatkopar :** Office No.307, 3rd floor, Jawahar Road, Ghatkopar East, Mumbai 400 077 **Ghaziabad :** B-11, LGF RDC, Rajnagar, Ghaziabad - 201002. **Goa :** Office no 103, 1st Floor,unitech City Centre, M.G. Road, Panaji Goa,403 001 **Goa:** No. DU 8, Upper Ground Floor, Behind Techoclean Clinic, Suvidha Complex, Near ICICI Bank, Vasco da gama Goa - 403 802 **Gondal:** A/177, Kailash Complex, Opp. Khedut Decor, Gondal – 360 311 **Gorakhpur :** Shop No. 5 & 6, 3rd Floor,Cross Road The Mall,A D Tiraha, Bank Road,Gorakhpur – 273001 **Gulbarga :** Pal Complex, 1st Floor, Opp. City Bus Stop, Super Market, Gulbarga - 585101 **Guntur :** Door No 5-38-44 5/1 BRODIPET Near Ravi Sankar Hotel Guntur 522002 **Gurgoan :** SCO - 16, Sector 14, First Floor, Gurgoan 122001 **Guwahati :** Piyali Phukan Road, K. C. Path, House No - 1, Rehabari, Guwahati - 781008. **Gwalior:** 1 Floor, Singhal Bhavan Daji Vitthal Ka Bada Old High Court Road Gwalior 474001 **Haldia*:** 1st Floor, New Market Complex, Durgachak Post Office, Purba Medinipur District, Haldia - 721 602 **Hassan:** 'PANKAJA' 2nd Floor, Near Hotel Palika, Race Course Road, Hassan- 573201 **Hazaribag :** Municipal Market, Annanda Chowk, Hazaribagh – 825301 **Howrah:** Gagananchal Shopping Complex, Shop No.36 (Basement), 37,Dr. Abani Dutta Road, Salkia, Howrah – 711106 **Haldwani :** Durga City Centre, Nainital Road, Haldwani – 263139 **Haridwar:** No. 7, Kanya Gurukul Road, Krishna Nagar, Haridwar, Uttarakhand – 249404 **Hoshiarpur :** Near Archies Gallery, Shimla Pahari Chowk, Hoshiarpur – 146001 **Himmatnagar :** C-7/8 Upper Level, New Durga Bazar, Near Railway Crossing, Himmatnagar – 383001 **Hisar :** 12, Opp. Bank of Baroda Red Square Market, Hisar – 125001 **Hosur :** Survey No.25/204,Attibele Road, HCF Post,Mathigiri, Above Time Kids School,Opposite to Kuttys Frozen Foods,Hosur - 635 110. **Hubli :** No. 204 - 205, 1st Floor, ' B ' Block, Kundagol Complex, Opp. Court, Club Road, Hubli – 580029 **Hyderabad :** 208, II Floor Jade Arcade Paradise Circle Secunderabad 500 003 **Indore :** 101, Shalimar Corporate Centre 8- B, South tukogunj, Opp.Greenpark Indore 452 001 **Jabalpur :** 8, Ground Flr., Datt Towers, Behind Commercial Automobiles, Napier Town, Jabalpur - 482001. **Jaipur :** R-7, Yudhisthir Marg ,C-Scheme Behind Ashok Nagar Police Station Jaipur 302001 **Jalandhar :** Unit # 29, 1st Floor, City Square, G T Road, Jalandhar - 144 001 **Jalgaon :** Rustomji Infotech Services 70, Navipeth Opp. Old Bus Stand Jalgaon 425001 **Jalpaiguri :** Babu Para, Beside Meenaar Apartment, Ward No VIII, Kotwali Police Station, PO & Dist Jalpaiguri, Pincode 735101, West Bengal. **Jalna :** Shop No. 6, Ground Floor, Anand Plaza Complex, Bharat Nagar, Shivaji Putla Road, Jalna - 431 203. **Jammu :** JRDS Heights, Lane Opp. S&S Computers, Near RBI Building, Sector 14, Nanak Nagar Jammu - 180004 **Jamnagar:** 207, Manek Centre, P N Marg, Jamnagar - 361 001. **Jamshedpur :** Millennium Tower, "R" Road Room No:15 First Floor, Bistupur Jamshedpur 831001 **Jaunpur:** 248, Fort Road, Near Amber Hotel, Jaunpur - 222001. **Jhansi:** 372/18 D, Ist Floor above IDBI Bank, Beside V-Mart, Near "RASKHAN" Gwalior Road, Jhansi – 284001. **Jodhpur:** 1/5, Nirmal Tower Ist Chopasani Road Jodhpur 342003 **Jorhat :** Jail Road Dholasatra Near Jonaki Shangha Vidyalaya Post Office - Dholasatra Jorhat - 785001 **Junagadh :** Circle Chowk, Near Choksi Bazar Kaman, Gujarat Junagadh362001 **Kakinada** : D No-25-4-29,1St floor, Kommireddy vari Street, Beside Warf Road, Opp Swathi Madicals, Kakinada-533001. **Kalyani :** A - 1/50, Block - A, Dist Nadia, Kalyani – 741235 **Kanpur :** I Floor 106 To 108 City Centre Phase II 63/ 2, The Mall Kanpur 208001 **Kannur :** Room No.14/435, Casa Marina Shopping Centre, Talap, Kannur – 670004. **Kangra:** C/o Dogra Naresh & Assocoaites, College Road, Kangra, Himachgalpradesh Pin Code: 176001 **Karnal :** 29 Avtar Colony,Behind Vishal Mega Mart,Karnal - 132001 **Karimnagar :** H No.7-1-257, Upstairs S B H\ Mangammathota Karimnagar A.P. Karimnagar 505 001 **Karur :** 126 GVP Towers, Kovai Road, Basement of Axis Bank, Karur – 639002 **Kasaragod :** KMC XXV/88, I, 2nd Floor,Stylo Complex,Above Canara Bank, Bank Road,Kasaragod- 671 121. **Kashipur:** Dev Bazar,Bazpur Road,Kashipur-244713 **Katni:** 1st Floor, Gurunanak Dharmakanta, Jabalpur Road, Bargawan, Katni - 483501 **Kadapa :** Bandi Subbaramaiah Complex D.No: 3/ 1718, Shop No: 8 Raja Reddy Street, Beside Bharathi Junior College, KADAPA – 516 001. Andhra Pradesh **Khammam:** Shop No: 11 - 2 - 31/3, 1st floor, Philips Complex, Balajinagar, Wyra Road, Near Baburao Petrol Bunk, Khammam, Andhra Pradesh - 507 001. **Kharagpur :** "Silver Palace",OT Road, Inda- Kharagpur, G.P-Barakola,P.S- Kharagpur Local,Pin-721305,Dist-West Midnapore **Krishnanagar:** R.N Tagore Road,In front of Kotwali P. S.Krishnanagar,Nadia,Pin-741101. **Kolhapur:** 2 B, 3rd Floor, Ayodhya Towers, Station Road, Kolhapur 416001 **Kolkata:** Kankaria Centre,2/1,Russell Street ,(2nd Floor),Kolkata-700071 **Kollam :** Kochupilamoodu Junction Near VLC, Beach Road Kollam 691001 **Korba:** 6 Sriram Commercial Complex, Infront of Hotel Blue Diamond, T P Nagar, Korba, Chattisgarh PIN 495677 **Kota* :** B-33 'Kalyan Bhawan Triangle Part ,Vallabh Nagar Kota 324007 **Kottayam :** THAMARAPALLIL Building,Door No - XIII/658,M L Road,Near KSRTC Bus Stand Road,Kottayam – 686001 **Kukatpally :** No. 15-31-2M-1/4,1st Floor, 14-A, MIG,KPHB Colony, Kukatpally,Hyderabad – 500072. **Kumbakonam :** Jailani Complex 47, Mutt Street Tamil Nadu Kumbakonam 612001. **Kurnool :** Shop Nos. 26 and 27, Door No. 39/265A and 39/265B,Second Floor, Skanda Shopping Mall, Old Chad Talkies, Vaddageri, 39th Ward, Kurnool, Andhra Pradesh - 518001. **Lucknow :** Off # 4,1st Floor,Centre Court Building, 3/c, 5 - Park Road, Hazratganj Lucknow - 226 001 **Ludhiana :** U/ GF, Prince Market, Green Field Near Traffic Lights, Sarabha Nagar Pulli Pakhowal Road, Ludhiana - 141 002 **Madurai :** Shop No.3. 2nd Floor, Suriya Towers, 272/273 - Goodshed Street, Madurai - 625001 **Mahabubnagar:** CAMS SERVICE CENTER, No.1-3-110/A, Opp. harmony Arcade, Near Srinivas Reddy Hospital, Rajendra Nagar, New Town, Mahabubnagar-509001. **Malda :** Daxhinapan Abasan, Opp Lane of Hotel Kalinga, SM Pally, Malda – 732101 **Mangalore :** No. G 4 & G 5, Inland Monarch Opp. Karnataka Bank Kadri Main Road, Kadri Mangalore - 575 003 **Manipal :** Shop No A2, Basement Floor,Academy Tower,Opp. Corporation Bank,Manipal – 576104 **Mandi :** 328/12,Ram Nagar ,1st Floor, Above Ram Traders,Mandi,-175001 Mandi **Gobindgarh:** Opposite State Bank of Bikaner and Jaipur, Harchand Mill Road, Motia Khan, Mandi Gobindgarh,Punjab – 147301 **Mapusa :** Office no. CF-8, 1st Floor, Business Point, Above Bicholim Urban Co-op Bank, Angod, Mapusa – 403507 **Margao :** F4- Classic Heritage, near Axis Bank, opp. BPS Club Pajifond, Margao, Goa 403 601. **Malappuram:** Kadakkadan Complex ,Opp Central School, Malapuram – 670504. **Mathura :** 159/160 Vikas Bazar Mathura 281001 **Meerut :** 108 Ist Floor Shivam Plaza Opposite Eves Cinema, Hapur Road Meerut 250002 **Mehsana :** 1 Floor, Subhadra Complex Urban Bank Road Mehsana Gujarat 384002. **Mirzapur* :** First Floor, Canara Bank Building, Dhundhi Katra Mirzapur, Uttar Pradesh - 231 001. **Moga :** Gandhi Road, Opp. Union Bank of India, Moga – 142001 **Moradabad :** H 21-22, Ist Floor, Ram Ganga Vihar Shopping Complex, Opposite Sale Tax Office, Moradabad-244 001. **Mumbai :** Rajabahdur Compound, Ground Floor Opp Allahabad Bank, Behind ICICI Bank 30, Mumbai Samachar Marg, Fort Mumbai 400 023 **Muzaffarnagar:** 235, Patel Nagar, Near Ramlila Ground, New Mandi, Muzaffarnagar-251001. **Muzzafarpur :** Brahman toli, Durgasthan Gola Road Muzaffarpur 842001 **Mysore :** CH-16,Prashanth Plaza,Safe wheels building, Saraswathipuram,Mysore-570009, Karnataka **Nadia:** R.N Tagore Road, In front of Kotwali P. S. Krishnanagar, Nadia - 741101. **Nadiad:** F-134, First Floor,Ghantakarna Complex,Gunj Bazar,Nadiad - 387001 **Nagercoil :** IV Floor, Kalluveettil Shyras Center 47, Court Road, Nagercoil - 629 001 Tamil Nadu **Nagpur :** 145 Lendra Park, Behind Indus Ind Bank New Ramdaspeth Nagpur 440 010 **Nalgonda:** 6-4-80,1st Floor,Above Allahabad Bank, Opposite to police auditorium,VT Road,Nalgonda-508001 **Namakkal :** 156A /1, First Floor, Lakshmi Vilas Building, Opp. To District Registrar Office, Trichy Road, Namakkal – 637001 **Nasik :** 1st Floor, " Shraddha Niketan ", Tilak Wadi, Opp. Hotel City Pride, Sharanpur Road, Nasik - 422 002 **Navsari :** 16, 1st Floor,\ Shivani Park, Opp. Shankheswar Complex, Kaliawadi, Navsari - 396 445. **Nanded:** Shop No.8,9 Cellar "Raj Mohammed ,Complex" Main Road Shri Nagar,Nanded-431605. **Nellore* :** 97/56, I Floor Immadisetty Towers Ranganayakulapet Road, Santhapet, Nellore 524001 **New Delhi :** 7-E, 4th Floor, Deen Dayaal Research Institute Building, Swami Ram Tirath Nagar, Near Videocon Tower Jhandewalan Extension, New Delhi -110 055. **New Delhi - Pitampura :** Aggarwal Cyber Plaza-II,Commercial Unit No 371,3rd floor, Plot No C-7,Netaji Subhash Place, Pitampura –New Delhi 110034. **New Delhi - Janakpuri:** 306, 3rd Floor, DDA -2 Building,District Centre, Janakpuri, New Delhi -110058. **Nizamabad:** 5-6-208,Saraswathi Nagar,Opposite Dr. Bharathi Rani Nursing Home,Nizamabad - 503001 Telangana **Noida :** E-3, Ground floor, sector 3,Near Fresh food factory, Noida - 201301. **Ongole:** Shop No 1128, First floor,3rd Line, Sri Bapuji Market complex,Ongole – 523001.Andhra Pradesh **Palakkad :** 10 / 688, Sreedevi Residency, Mettupalayam Street, Palakkad – 678001 **Palanpur* :** Gopal Trade Center,Shop No. 13-14, 3rd Floor, Nr. BK Mercantile Bank,Opp. Old Gunj,Palanpur - 385001. **Panipat :** 83, Devi Lal Shopping Complex Opp ABN Amro Bank, G.T. Road Panipat 132103 **Patiala:** 35 New Lal Bagh, Opposite Polo Ground, Patiala : 147001 **Patna :** G-3, Ground Floor, Om Complex, Near Saket Tower, SP Verma Road, Patna 800001 **Pathankot:** 13-A, 1st Floor, Gurjeet Market, Dhangu Road, Punjab, Pathankot - 145 001 **Pondicherry :** S-8, 100, Jawaharlal Nehru Street (New Complex, Opp. Indian Coffee House) Pondicherry 605001 **Port Blair :** 35, Behind Hotel Haywizz, M.A. Road, Phoenix Bay, Port Blair – 744 102.South Andaman **Pratapgarh :** Opposite Dutta Traders Near Durga Mandir Balipur, Pratapgarh - 230 001 **Raebarelly :** 17, Anand Nagar Complex, Raeberaily – 229001 **Raiganj:** Rabindrapally,Near Gitanjali Cinema Hall,Raiganj,Uttar Dinajpur,Pin 733134 **Raipur :** HIG, C-23, Sector - 1, Devendra Nagar, Raipur - 492004. **Rajahmundry :** Cabin 101 D.no 7-27-4 1 Floor Krishna Complex Baruvari Street T Nagar Rajahmundry 533101 **Rajkot :** Office 207 - 210, Everest Building, Harihar Chowk, Opp Shastri Maidan, Limda Chowk, Rajkot - 360001 **Rajapalayam :** No 155, Railway Feeder Road, Near Bombay Dyeing Showroom, Rajapalayam – 626117 **Ranchi :** 223, Tirath Mansion (Near Over Bridge),1st Floor Main Road Ranchi 834001 **Ranchi :** 4, HB Road. No. 206, 2nd Flr., Shri Lok Complex, Ranchi - 834 001. **Ratlam :** 18, Ram Bagh, Near Scholar's School, Ratlam - 457001. **Ratnagiri :** Orchid Tower, Gr'Floor, Gala No 06, S.V.No.301/Paiki 1/2, Nachane Munciple Aat, Arogya Mandir, Nachane Link Road, At, Post, Tal. Ratnagiri, Dist. Ratnagiri - 415612 **Rohtak :** SCO - 34, Ground Floor, Ashoka Plaza, Delhi Road, Rohtak - 124001 **Rourkela :** J B S Market Complex, 2nd Floor, Udit Nagar, Rourkela - 769012 **Roorkee :** 22 Civil Lines Ground Floor, Hotel Krish Residency, Roorkee – 247 667 **Sagar :** Opp.Somani Automobiles Bhagwanganj Sagar Madhya Pradesh Sagar 470 002 **Salem :** No.2, I Floor Vivekananda Street, New Fairlands Salem 636016 **Saharanpur :** I Floor, Krishna Complex, Opp. Hathi Gate, Court Road, Saharanpur – 247001 **Sangli :** Jiveshwar Krupa Bldg, Shop. NO.2, Ground Floor, Tilak Chowk, Harbhat Road, Sangli – 416416 **Sambalpur :** C/o Raj Tibrewal & Associates Opp.Town High School,Sansarak Sambalpur 768001 **Satara* :** 117 / A / 3 / 22, Shukrawar Peth Sargam Apartment Maharashtra Satara 415002 **Satna:** 1st Floor, Shri Ram Market, beside Hotel Pankaj, Birla Road, Satna – 485 001 **Serampore:** CAMS Serampore CSC Alakalaya, 102, N.S. Avenue, P.O. Serampore, Dist- Hooghly Pin : 712201 West Bengal **Shahjahanpur:** Bijlipura, Near Old Distt Hospital, Jail Road, Shahjahanpur, Uttar Pradesh - 242 001. **Silchar:** Usha Complex, Ground Floor, Punjab Bank Building, Hospital Road, Silchar-788005. **Sikar:** 1st Floor,Opposite Yash Tower Parking,Pawan Travels Street,Front of City Center mall,Station Road,Sikar - 332001 **Siliguri:** 78 , Haren Mukherjee Road ,1st floor,Beside SBI Hakimpara,Siliguri 734001 **Sirsa:** Gali No:1, Old Court Road,Near rd Railway Station Crossing, Sirsa, Haryana - 125 055. **Shimla :** I Floor, Opp. Panchayat Bhawan Main gate, Bus stand, Shimla – 171001 **Shimoga :** No.65 1st Floor, Kishnappa Compound, 1st Cross, Hosmane Extn, Shimoga - 577 201, Karnataka **Shillong:** 3 Floor, RPG Complex, Keating Road, Shillong - 793001 **Sitapur :** 78 , Haren Mukherjee Road , 1st floor,Beside SBI Hakimpara,Siliguri 734001 **Solan :** 1st Floor, Above Sharma General Store, Near Sanki Rest house, The Mall, Solan – 173212 **Solapur :** 4, Lokhandwala Tower, 144, Sidheshwar Peth, Near Z.P. Opp. Pangal High School, Solapur 413001 **Sonepat :** Sco-11-12,1st Floor, Pawan Plaza, Atlas Road, Subhash Chowk, Sonepat-131001. **Sriganganagar :** 18 L Block, Sri Ganganagar Sriganganagar – 335001 **Srikakulam :** Door No 4-4-96, First Floor. Vijaya Ganapathi Temple Back Side, Nanubala Street, rikakulam - 532001 **Srinagar:** Near New Era Public School,Rajbagh,Srinagar - 190 008 **Sultanpur :** 967, Civil Lines, Near Pant Stadium, Sultanpur – 228001 **Surat :** Shop No-G-5, International Commerce Center,Nr.Kadiwala School, Majura Gate,Ring Road, Surat - 395002 **Surendranagar :** Shop No.12, M.d Residency, Swastik Cross Road, Surendranagar - 363 001. **Thane (W):** Dev Corpora, 1st Floor, Office No. 102, Cadbury Junction, Eastern Express way, Thane (West) – 400 601. **Thiruppur :** 1(1), Binny Compound, II Street, Kumaran Road Thiruppur 641601 **Thiruvalla:** 1st Floor, Room No - 61(63), International Shopping Mall,Opp. St. Thomas Evangelical Church, Above Thomson Bakery, Manjady, Thiruvalla - 689105. **Tinsukia:** Dhawal Complex, Ground Floor, Durgabari Rangagora Road, Near Dena Bank PO Tinsukia, Tinsukia - 786125 **Tirunelveli :** No. F4, Magnem Suraksaa Apartments,Tiruvananthapuram Road,Tirunelveli-627 002. **Tirupathi :** Shop No : 6, Door No: 19-10-8, (Opp to Passport Office), AIR Bypass Road, Tirupati - 517501 **Trichur :** Room No. 26 & 27, Dee Pee Plaza, Kokkalai, Trichur – 680001 **Trichy :** No 8, I Floor, 8th Cross West Extn Thillainagar Trichy 620018 **Trivandrum :** R S Complex Opposite of LIC Building Pattom PO Trivandrum 695004 **Udaipur :** 32, Ahinsapuri, Fatehpura circle,Udaipur- 313001. **Udhampur :** Guru nanak institute NH-1A, Udhampur, JAMMU-182101. **Tuticorin :** 227/F South New Street, Tuticorin - 628 002. **Ujjain:** 123, First Floor, Siddhi Vinanyaka Trade Centre, Saheed Park, Ujjain - 456010. **Vadodara:** 103 Aries Complex, BPC Road, Off R.C. Dutt Road, Alkapuri, Vadodara - 390007 **Valsad :** Ground Floor, Yash Kamal -"B", Near Dreamland Theater, Tithal Road, Valsad – 396001. **Vapi :** 208, 2nd Floor HEENA ARCADE, Opp. Tirupati Tower, Near G.I.D.C. Char Rasta, Vapi - 396 195. **Varanasi :** Office no 1, Second floor, Bhawani Market, Building No. D-58/2-A1, Rathyatra, Beside Kuber Complex, Varanasi-221010. **Vashi:** BSEL Tech Park, B-505, Plot no 39/5 & 39/5A, Sector 30A, Opp. Vashi Railway Station, Vashi, Navi Mumbai – 400705. **Vellore :** AKT Complex 2nd floor,No 1,3 New Sankaranpalayam Road Tolgate,Vellore – 632001. **Vijayawada :** 40-1-68, Rao & Ratnam Complex Near Chennupati Petrol Pump M. G Road, Labbipet Vijayawada 520 010 **Vishakhapatnam :** Door No 48-3-2, Flat No 2, 1st Floor, Sidhi Plaza, Near Visakha Library, Srinagar, Visakhapatnam- 530 016. **Vizianagaram :** Portion 3,First Floor,No:3-16, Behind NRI Hospital, NCS Road, Srinivasa Nagar,Vijaynagaram-535003 **Warangal :** F13, 1st Floor BVSS Mayuri Complex Opp. Public Garden, Lashkar Bazaar Hanamkonda Warangal 506001 **Wardha:** Opp. Raman Cycle Industries, Krishna Nagar, Maharashtra, Wardha - 442 001 **Wayanad:** 2nd Floor, AFFAS Building, Kalpetta,Wayanad – 673 121 **Yamuna Nagar :** 124-B/R Model Town Yamunanagar Haryana Yamuna Nagar 135 001 **Yavatmal :** Pushpam, Tilakwadi, Opp. Dr. Shrotri Hospital, Yavatma - 445001.

In addition to the above, CAMS, Registrar & Transfer Agents to APEX SIF will be the official point of acceptance for all online / electronic transactions by investors who have subscribed to the Online Transaction Facility offered by Aditya Birla Sun Life AMC Limited (AMC). The investors can undertake purchase / sale / switch transactions and avail of such other online facilities as may be provided by AMC from time to time through its official website - https://apexsif.adityabirlacapital.com/, which is the official point of acceptance for electronic transactions and through other secured internet sites of specified banks, financial institutions, etc. with whom AMC has entered or may enter into specific arrangements for providing online facility. Secured internet sites operated by CAMS will also be official point of acceptance.